



Public Schools of North Carolina  
State Board of Education  
Department of Public Instruction

## CAREER AND TECHNICAL EDUCATION

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*A Guide for Administering Career and Technical Education in  
the Public Schools of North Carolina*

# Fiscal and Policy Guide

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CAREER AND TECHNICAL EDUCATION

# Fiscal and Policy Guide

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North Carolina Department of Public Instruction  
July 2019

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# Mission, Vision and Purpose of Career and Technical Education

***Mission:*** To empower all students to be successful citizens, workers and leaders in a global economy.

***Vision:*** When **students** participate in Career and Technical Education as an integral part of the total school experience, and when **parents** recognize that Career and Technical Education impacts achievement of educational and career goals, and when **partnerships** impact the educational community to provide a seamless transition to educational and career goals, then North Carolina will develop a more talented workforce that successfully recruits and retains new business and industry and students will be empowered for success.

***Purpose:*** Career and technical education fulfills this mission by:

- Preparing students for postsecondary education in career and technical fields and lifelong learning.
- Preparing students for initial and continued employment.
- Assisting students in making educational and career decisions.
- Applying and reinforcing related learning from other disciplines.
- Assisting students in developing decision-making, communication, problem-solving, leadership, and citizenship skills.
- Preparing students to make informed consumer decisions and apply practical life skills.
- Making appropriate provisions for students with special needs to succeed in career and technical education programs.

***Goals:***

- **Quality.** CTE will identify indicators of quality to ensure mutual expectations are met between CTE and CTE stakeholders.
- **High Expectations.** CTE program concentrators will exit with high academic and technical skills.
- **Innovation.** CTE Leadership will guide cutting edge curricula design and instructional delivery.
- **Life-long Learning.** CTE concentrators will be prepared to continue lifelong education and training.
- **Dignity of all Occupations.** CTE stakeholders will recognize the value and dignity of all occupations

# Legal References and Resources

## State Laws

Chapter 115C, Article 10, Parts 1-4 of the General Statutes enacted by the General Assembly provides the legal basis for Career and Technical Education in North Carolina.

**Purpose:** Part 1, G.S. 115C-151 through G.S. 115C-158, establishes the purpose, provides definitions, designates the State Board of Education (hereafter referred to as the State Board) as the sole state agency for the administration of career and technical education and to accept all benefits of federal career and technical education acts passed by the Congress of the United States, authorizes funding, and established responsibility of local boards of education for career and technical education. It also identifies the duties of the State Board and the standards for approval of local Career and Technical Education plans or applications.

- 1) **Part 1**, G.S. 154.1, also establishes the following Standards for Approval of Career and Technical Education Programs. The programs are in accordance with the purposes of G.S. 115C-151:
  - A. The career and technical education programs and courses are not duplicated within a local school administrative unit; unless the unit has data to justify the duplication or the unit has a plan to redirect the duplicate programs within three years.
  - B. For all current job skill programs, there is a documented need, based on labor market data or follow-up data, or there is a plan to redirect the program within two years.
  - C. New career and technical education programs show documented need based on student demand or for new job skill programs based on student and labor market demand.
  - D. All programs are responsive to technological advances, changing characteristics of the workforce, and the academic, technical and attitudinal development of students.

Part 1, G.S. 154.1 further indicates that local programs using the cooperative career and technical education method shall be approved subject to students enrolled being placed in employment commensurate with the respective program criteria.
  - E. The State Board establishes administrative policies to implement secondary Career and Technical Education programs.
- 2) **Part 2**, G.S. 115C-159 through G.S.115C-165 provides for Career and Technical Education production work activities and gives direction for acquisition of land for agricultural education, instructional programs, and building trades training.

- 3) **Part 3**, G.S. 115C-166 through G.S. 115C-169 provides for eye protection devices required in certain courses.
- 4) **Part 4**, G.S. 115C-172 provides requirements for the establishment of Business Advisory Councils, members, selection and duties.
- 5) Reference  
Public School Laws of NC Annotated Current Edition (LexisNexis)
- 6) Other Laws  
G.S. 115C-105.20 School Based Management and Accountability Program  
This state law mandates that the State Board of Education develop a School-Based Management and Accountability Program. The primary goal of the Program shall be to improve student performance.

## Federal Laws

### Strengthening Career and Technical Education for the 21<sup>st</sup> Century Act (Perkins V), Public Law 115 - 224

**Purpose:** The purpose of this Act is to develop more fully the academic, career and technical skills of secondary and postsecondary students who elect to enroll in Career and Technical Education programs by:

- Building on the efforts of states and localities to develop challenging academic and technical standards and to assist students meeting such standards, including preparation for high-skill, high-wage or **in-demand** occupations in current or emerging professions;
- Promoting the development of services and activities that integrate rigorous and challenging academic and career and technical instruction, and that link secondary and postsecondary education for participating Career and Technical Education (CTE) students;
- Increasing state and local flexibility in providing services and activities designed to develop, implement, and improve Career and Technical Education;
- Conducting and disseminating national research and disseminating information on best practices that improve CTE programs **and programs of study**, services and activities;
- Providing technical assistance that:
  - Promotes leadership, initial preparation, and professional development at the State and local levels; and
  - Improves the quality of CTE teachers, faculty, administrators and counselors;
- Supporting partnerships among secondary schools, postsecondary institutions, baccalaureate degree granting institutions, area CTE schools, local workforce investment boards, business and industry, and intermediaries;
- Providing individuals with opportunities throughout their lifetimes to develop, in conjunction with other education and training programs, the knowledge and skills needed to keep the U.S. competitive; and
- **Increasing the employment opportunities for populations who are chronically unemployed or underemployed, including individuals with disabilities, individuals from economically disadvantaged families, out-of-workforce individuals, youth who are in, or have aged out of, foster care system, and homeless individuals.**

## 1) Accountability

Perkins V requires the development of State Determined Performance Measures to assess the effectiveness of the State and the eligible recipients of the State in achieving statewide progress in career and technical education, and to optimize the return of investment of Federal funds in career and technical education activities.

In North Carolina, the **Local Application System** (LAS) is used to assist Local Education Agencies (LEAs) in developing a strategic action plan to meet their accountability goals. LEAs must meet accountability standards for CTE on each of the following **indicators of performance** (**Appendix E**):

- i. Four-Year Graduation Rate
- ii. Extended Graduation Rate
- iii. Academic Proficiency in Reading/Language Arts
- iv. Academic Proficiency in Mathematics
- v. Academic Proficiency in Science
- vi. Postsecondary Placement
- vii. Nontraditional Program Enrollment
- viii. Program Quality – Attained Postsecondary Credential
- ix. Program Quality – Other

## 2) Reference

*Perkins V the Official Guide to the Strengthening Career and Technical Education for the 21<sup>st</sup> Century Act* (ACTE)  
*The Comprehensive Guide to the Federal Role in Career and Technical Education: Perkins V and the Uniform Grant Guidance* (Michael Brustein et al.)

## 3) Other Federal Laws

### A. Individuals with Disabilities Education Act (IDEA) (Public Law 94-142)

This act provides federal support for educational programs serving the handicapped in elementary and secondary programs. IDEA established specific identification, program, and service delivery criteria for serving this target population. It also established the concept of equal educational opportunity in the least restrictive setting for the handicapped.

### B. Special Education Article 9 of School Law Vocational Rehabilitation Act of 1973 (Public Law 93-112)

This law provides a legal basis for public school programs for students with special needs. It provides guidelines and direction of all special education programs and services and is basically consistent with Public Law 94-142. Its provisions are also applicable to secondary public career and technical programs. This federal law mandates that the handicapped cannot be discriminated against in any program, activity, or service that is federally funded. The law is also consistent with IDEA in its provisions relative to educational programs.

C. Workforce Innovation and Opportunity Act of 2014 (WIOA – Public Law 113-128)

Programs and activities funded through this act are designed to help job seekers access employment, education, training, and support services to succeed in the labor market and to match employers with the skilled workers they need to compete in the global economy.

D. General Education Provisions Act

This act authorizes the issuance of general regulations about how to apply for federal grants and sub grants, the general conditions that apply to grantees and sub grantees, and compliance procedures. The regulations are referred to as EDGAR (Education Department General Administrative Regulations.)

E. Every Student Succeeds Act (Public Law 114-95)

Ensures that all students are held to high academic standards and prepared for success in college and career. The Act also reduces the burden of testing while maintaining annual information to parents and students. ESSA focuses on continuous improvement and promotes and invests in local innovation.

## Plan for Career and Technical Education in North Carolina

- North Carolina State Plan
- Local **Application**

### NC State Plan

**Purpose:** The provisions of Article 10, Part 1 of Chapter 115C of the General Statutes, enacted by the North Carolina General Assembly direct the State Board of Education to prepare a Master Plan for Career and Technical Education (G.S. 115C-154). The plan shall ensure minimally that:

- 1) Articulation shall occur with institutions, agencies, councils, and other organizations having responsibilities for workforce preparedness.
- 2) Business, industrial, agricultural, and lay representatives, including parents of students enrolled in Career and Technical Education courses, organized as advisory committees have been utilized in the development of decisions affecting Career and Technical Education programs and services.
- 3) Public hearings are conducted annually to afford the public an opportunity to express their views concerning the State Board of Education's plan and to suggest changes in the plan.
- 4) The plan describes the state's policy for Career and Technical Education and the system utilized for delivery of Career and Technical Education programs, services, and activities. The policy shall include priorities of curriculum, integration of career and academic education, technical preparation, and youth apprenticeships.
- 5) A professionally and occupationally qualified staff is employed and organized in a manner to assure efficient and effective state leadership for Career and Technical Education. Provisions shall be made for such functions as: planning, administration, supervision, personnel development, curriculum development, career and technical student organizations, coordination, research and evaluation, and such others as the state board may direct.



- 6) An appropriate supply of qualified personnel is trained for program expansion and replacements through cooperative arrangements with institutions of higher education and other institutions or agencies, including where necessary financial support of programs and curriculums designed for the preparation of career and technical administrators, supervisors, coordinators, instructors, and support personnel.
- 7) Minimum standards shall be prescribed for personnel at the state and local levels.
- 8) Local boards of education submit to the State Board a local application for Career and Technical Education that has been prepared in accordance with the procedures set forth in the State Master Plan for Career and Technical Education.
- 9) Appropriate minimum standards for Career and Technical Education programs, activities, and services shall be established, promulgated, supervised, monitored, and maintained. These standards shall specify characteristics such as program objectives, competencies, course sequence, program duration, class size, supervised on-the-job experiences, career and technical student organization, school-to-career transition programs, qualifications of instructors and all other standards necessary to ensure that all programs conducted by local school administrative units shall be of high quality relevant to student needs, and coordinated with employment opportunities.
- 10) A system of continuing qualitative and quantitative evaluation of all Career and Technical Education programs, activities, and services supported under the provisions of this part shall be established, maintained, and utilized periodically. One component of the system shall be follow-up studies of employees and of former students of Career and Technical Education programs who have been out of school for one year and for five years to ascertain the effectiveness of instruction, services and activities.

**Local Application**

**Purpose:** Each Local Education Agency (LEA) must submit to the Department of Public Instruction a local application for Career and Technical Education (CTE), which covers the same period as the State Plan for Career and Technical Education. The local application is a major component of the Local Application System), which is an Internet-based process used to identify needs, develop strategies, manage resources, and the Local Application System is a tool to assist the LEA in delivering quality, high-performing CTE programs, services, and activities.

**Timeline:** The application must be submitted on a two-year cycle aligned to the completion of the comprehensive local needs assessment. See below for the application timeline:

Date	Component
Fall, 2019	Conduct Comprehensive Local Needs Assessment
Spring, 2020	Local Application Due
Fall, 2021	Conduct Comprehensive Local Needs Assessment
Spring, 2022	Local Application Due

## Application Requirements:

- A description of the results of the comprehensive needs assessment.
- Information on the career and technical education course offerings and activities that the eligible recipient will provide with funds under this part, which shall include not less than 3 programs of study approved by the State.
- A description of how the eligible recipient, in collaboration with local workforce development boards and other local workforce agencies, one-stop delivery systems described in section 121€(2) of the Workforce Innovation and Opportunity Act and other partners will provide (A) career exploration and career development coursework, activities, or services; (B) career information on employment opportunities that incorporate the most up-to-date information on high-skill, high-wage, or in-demand industry sectors or occupations, as determined by the comprehensive needs assessment; (C) an organized system of career guidance and academic counseling to students before enrolling and while participating in a career and technical education program.
- A description of how the eligible recipient will improve the academic and technical skills of students participating in career and technical education programs by strengthening the academic and career and technical education components of such programs through the integration of coherent and rigorous content aligned with challenging academic standards and relevant career and technical education programs to ensure learning in the subjects that constitute a well-rounded education.
- A description of how the eligible recipient will (A) provide activities to prepare special populations for high-skill, high-wage, or in-demand industry sectors or occupations that will lead to self-sufficiency; (B) prepare CTE participants for non-traditional fields; (C) provide equal access for special populations to career and technical education courses, programs, and programs of study; (D) ensure that members of special populations will not be discriminated against on the basis of their status as members of special populations.
- A description of the work-based learning opportunities that the eligible recipient will provide to students participating in career and technical education programs and how the recipient will work with representatives from employers to develop or expand work-based learning opportunities for career and technical education students.
- A description of how the eligible recipient will provide students participating in career and technical education programs with the opportunity to gain postsecondary credit while still attending high school, such as through dual enrollment or concurrent enrollment programs or early college high school.
- A description of how the eligible recipient will coordinate with the eligible agency and institutions of higher education to support the recruitment, preparation, retention, and training, including professional development, of teachers, faculty, administrators, and specialized instructional support personnel and paraprofessionals who meet applicable State certification and licensure requirements including individuals from groups underrepresented in the teaching profession.
- A description of how the eligible recipient will address disparities or gaps in performance in each of the plan years, and if no meaningful progress has been achieved prior to the third program year, a description of the additional actions such recipient will take to eliminate those disparities or gaps (Appendix E).
- Assurances that Career and Technical Education programs will be offered in compliance with Perkins V rules and regulations.
- A budget detailing projected utilization of funds for Career and Technical Education programs, services, and activities.

- 1) The **Local Application System** focuses heavily on the performance measures and standards that have been developed in response to the indicators of performance mandated by **Perkins V. Appendix E** identifies each of the North Carolina indicators of performance and delineates how the data for each is generated.

A. Local **Application** Assurances

Purpose: These assurances confirm the local educational agency's capacity to carry out the State and Federal legal requirements during the timeframe of this local **application**.

i) **Local Application for Career and Technical Education Programs**

- (1) The purposes of career and technical education as established in Chapter 115C, Article 10 of the NC General Statutes are adhered to in planning, designing, implementing, supervising and evaluating the career and technical education programs within this local education agency (LEA). (S.) (Article 10 Part 1 Section 115C-151)
- (2) Career and technical education programs are supervised, directed, or coordinated by persons qualified under the State Board of Education policies. (S. 115C-154 (6)(7))
- (3) The LEA will provide free appropriate career and technical education instruction, activities, and services in accordance with this Part for all youth who elect the instruction and shall have responsibility for administering the instruction, activities, and services in accordance with federal and State law and State Board of Education policies. (S. 115C-157)
- (4) The expenditures in this plan will adhere to the most recent NC Career and Technical Education (CTE) *Fiscal and Policy Guide*. (S. 115C-154(9); 115C-156)
- (5) The programs in this plan will adhere to the most recent NC Career and Technical Education *Standard Course of Study Guide*. (S. 115C-154)
- (6) The LEA has developed a career and technical education plan that describes the career and technical education needs of students and potential students in the LEA and indicates how and to what extent the programs proposed in the application meet such needs. (S. 115C-154 (8)) (F.134 (a))
- (7) **The LEA has conducted a comprehensive local needs assessment related to career and technical education and has included the results of the needs assessment in the local application. (F. 134 (c)(1)(A))**
- (8) **The LEA has conducted a comprehensive local needs assessment related to career and technical education not less than once every 2 years. (F. 134 (c)(1)(B))**
- (9) The career and technical education programs and courses are not duplicated within the LEA unless the LEA has data to justify the duplication or has a plan to redirect the duplicative program within three years. (S. 115C-154.1(2))
- (10) For all current job skill programs, there is a documented need based on labor market data or follow-up data or there is a plan to redirect the program within two years. (S. 115C-154.1 (3))
- (11) Career and technical education programs in the LEA are in accordance with the purposes of G.S. 115C-151:
  - (a) Occupational Skill Development - To prepare individuals for paid or unpaid employment in recognized occupations, new occupations, and emerging occupations. (S. 115C-151 (1))
  - (b) Preparation for Advanced Education - To prepare individuals for participation in advanced or highly skilled career and technical education. (S. 115C-151 (2))
  - (c) Career Development Introductory - To assist individuals in the making of informed and meaningful occupational choices. (S. 115C-151 (3))
- (12) New career and technical education programs show documented need based on student demands, or for new job skill programs, based on student and labor market demands (S. 115C-154.1(4)); or, address high-wage or high skill or **in-demand** occupations. (F. 135 (3))

- (13) All career and technical education programs are responsive to technological advances, including characteristics of the work force, and the academic, technical, and attitudinal development of students. (S. 115C-154.1 (5))
- (14) Local programs using the cooperative career and technical education method will be approved subject to students enrolled being placed in employment commensurate with the respective program criteria (S. 115C-154)
- (15) Provide career exploration and career development activities through an organized, systematic framework designed to aid students, including in the middle grades, before enrolling and while participating in a career and technical education program, in making informed plans and decisions about future education and career opportunities and programs of study. (F. 135 (b)(1))
- (16) Provide professional development programs for teachers, faculty, school leaders, administrators, specialized instructional support personnel, career guidance and academic counselors, or paraprofessionals, counselors, and administrators. (F. 135 (b)(2))
- (17) The definitions of specific career and technical education program elements are adhered to. (S. 115C-152 (1)(2)(3)(4))
- (18) Support integration of academic skills into career and technical education programs and programs of study. (F.135 (4))
- (19) Plan and carry out elements that support the implementation of career and technical education programs and programs of study and that result in increasing student achievement of the local levels of performance. (F. 135 (5))
- (20) A formal system of evaluation is conducted annually to determine how the programs meet their state objectives and are relevant to employment and occupational needs of students. Evaluation results are maintained in the LEA file designated by the superintendent and are used for improvement in programs. (S. 115C-154 (10))
- (21) Develop and implement evaluations of the activities carried out with funds under this part, including evaluations necessary to complete the comprehensive needs assessment required. (F. 135 (b)(6))
- (22) An organized system for conducting follow-up studies to determine the effectiveness of the career and technical education programs and guidance and counseling is used by the principal, and a compilation of all schools maintained in the office of the career and technical education director. Records and other information needed to carry out this function are maintained in the teacher's files. (S. 115C-154 (10))
- (23) The LEA has on file the findings of evaluations of career and technical education programs operated in the LEA during the previous one year and five years to ascertain the effectiveness of instruction, services, and activities. (S. 115C-154 (10))
- (24) Each local board of education shall be assisted by a business advisory council in performance of its duties to provide career and technical education instruction, activities, and services. (S. 115C-172)
- (25) Each local school administrative unit shall offer as part of its career and technical education program at least two work-based learning opportunities that are related to career and technical education instruction. A work-based learning opportunity shall consist of on-the-job training through an internship, cooperative education, or an apprenticeship program. (S. 115C-157 (b))

ii) **Local Use of Funds**

- (1) The LEA will not use more than five (5) percent of the funds for administrative costs associated with the administration of activities under this section. (F. 135(d))
- (2) Funds allocated for career and technical education will be transferred only in accordance with any rules that the State Board of Education considers appropriate to ensure compliance with federal regulations. (S. 115C-105.25 (b)(6))
- (3) No funds from Perkins V shall be used to require any secondary school student to choose or pursue a specific pathway or program of study; or to mandate that any individual participate in a career and technical education program that requires the

attainment of a federally funded skill level, standard, or certificate of mastery. (F. 214 (1)(2))

- (4) Funds made available under this act for career and technical education activities shall supplement, and shall not supplant, non-federal funds expended to carry out career and technical education. (F. 211 (a))
- (5) No funds under Perkins V may be used to provide career and technical education programs or programs of study to students prior to the middle grades (grades 5-8 as defined by ESSA) except that equipment and facilities purchased with funds under this act may be used by such students. (F. 215)
- (6) An LEA that uses funds under this Act for in-service and preservice career and technical education professional development programs for career and technical education teachers, administrators, and other personnel shall, to the extent practicable, upon written request, permit the participation in such programs of career and technical education secondary school teachers, administrators, and other personnel in nonprofit private schools offering career and technical education programs located in the geographic area served by such eligible agency or eligible recipient. (F. 217)

iii) Other Administrative Provisions

- (1) Nothing in Perkins V shall be construed to be inconsistent with applicable federal law prohibiting discrimination on the basis of race, color, sex, national origin, age, or disability in the provision of federal programs or services. (F. 216)
- (2) Nothing in Perkins V shall be construed by the LEA to permit, allow, encourage, or authorize any federal control over any aspect of a private, religious, or home school, regardless of whether a home school is treated as a private school or home school under State law. This section shall not be construed to bar students attending private, religious, or home schools from participation in programs or services under this Act. (F. 213)

## Local Administration

### 1) Funding

In 1995, the General Assembly of North Carolina passed House Bill 6 (**Appendix L**) granting additional local management of funds flexibility to Local Education Agencies (LEAs). As a result, Categorical “Vocational” months of employment were collapsed into a new funding allotment referenced as Central Office Administration. The State Board adopted new funding formulas providing a dollar amount (not a position allotment) and legislated that “no Central Office Administration could be paid from categorical programs.” For CTE, this means no state categorical PRC 013 CTE months of employment can be used for Central Office Administration of CTE. The legislation stated that the State Board would adopt policy to establish purposes for which consolidated funds could be used. The Board stated that the use of these funds “shall conform to appropriate federal requirements.” **Appendix J – CTE Administrators Job Description**

### 2) Compensation: Personal Services – CFR 200.430

State funds (Central Office Administration funds, PRC 02) used for local CTE administration are also subject to 2 CFR 200.430 Compensation-Personal Services requirements, because they are used in meeting cost sharing or matching requirements.

### 3) Licensure

The Career and Technical Education supervisor, director, or coordinator must hold a valid 00711 Career and Technical administrator’s license as referenced in the *North Carolina Salary and Benefits Manual*. <http://www.ncpublicschools.org/fbs/finance/salary/>

# State Career and Technical Education Funds

To be eligible to receive state Career and Technical Education (CTE) funds in North Carolina, local education agencies (LEAs) must submit an online **local application** in the **Local Application System** for Career and Technical Education, which meets the career and technical needs of youth in their respective areas. This application shall be submitted for approval to the Division of Career and Technical Education, Department of Public Instruction, through the appropriate procedures and submitted by the established deadlines. (GS 115C-154.1; 157; 451)

State funds include PRC 013 – Months of Employment for employing Career and Technical Education instructional personnel and PRC 014 for providing program support.

## PRC 013 – Months of Employment

**Purpose:** State months of employment may be used in grades 6-12 for employing non-administrative personnel in a local school administrative unit to satisfy one or more of the following purposes. *A Month of Employment (MOE) is a unit of employment corresponding to a calendar month. Example: 10 months of employment equals one full-time position for an employment period of 10 calendar months.* **Appendix C** provides allowable line item codes for expenditures of funds. Purposes of PRC 013 include:

- 1) Instructional services to youth enrolled in Career and Technical Education program areas as identified in the *North Carolina CTE Essential Standards*.
- 2) Program areas include Agricultural Education; Business, Finance, and Information Technology Education; Career Development; Family Consumer Sciences Education; Health Science Education; Marketing and Entrepreneurship Education; Technology, Engineering, and Design Education; Trade and Industrial Education.
- 3) Career and Technical Education instruction and/or supportive services for programs that extend beyond the normal school day.
- 4) Appropriate CTE programs and/or supportive services for students who are identified as special populations, which include economically disadvantaged, nontraditional students, single parents, **out-of-workforce individuals, English learners, homeless individuals, youth with parents on active duty in the armed forces, youth who are in, or have aged out of, the foster care system,** and individuals with disabilities.
- 5) Career development coordination services to assist students participating in Career and Technical Education in making educational and career decisions
- 6) Coordination for the implementation of the CTE Instructional Management System (IMS)



## Eligibility for Months of Employment

Local school administrative units must develop an online local **application** (refer to CTE **Local Application System**) for CTE that meets the career and technical needs of youth and certain adults. This application shall be submitted for approval to the Division of Career and Technical Education, Department of Public Instruction. The application, once approved, is the official agreement between local boards of education and the State Board of Education relative to the use of state and federal funds allotted to them.

State Board of Education policy mandates that "...all professional assignments shall be in the area of an individual's license." Based on this mandate, it is clear that all personnel employed through months of employment **must** be licensed in the Career and Technical Education area(s) in which they are teaching and/or assigned. Teachers assigned one or more classes for which they do not hold a license must secure an appropriate license for the out-of-field assignment, or the LEA must prorate the salary as described in item 2 of Chapter II, Compliance Requirements Section and pay that portion of the teacher's salary from non-CTE funds. **Appendix B**

## Formula

Career and Technical Education Months of Employment (MOEs) shall be allocated on a formula basis as approved by the State Board of Education, Department of Public Instruction, and Division of Career and Technical Education.

The State Board of Education allocates the funds received from the General Assembly for MOEs under the following formula: Each LEA will receive a base of 50 months and remaining months will be allotted based on allotted ADM in grades 8-12. (HB 1414-Section 7.37)  
<http://www.ncpublicschools.org/fbs/allotments/general/>

The Career and Technical Education allotment formula requiring use of ADM will be based on the higher of the first two months total projected grades 8-12 ADM for the current year or the higher of the first two months total actual prior year grades 8-12 ADM.

*Planning allotments and allocations to LEAs for all state allotments will be reduced based on the number of students transferring to Charter Schools. An allotment reduction will also be taken for students enrolling in NCVPS courses. The NCVPS reduction is based on the funding formula as defined in Session Law 2011-145.*

## Compliance Requirements

These funds are allocated to LEAs to be used for instructional salaries and instructional support in grades 6-12. It is the CTE administrator's responsibility to monitor the assignment and utilization of PRC 013 months of employment to assure that all requirements for the utilization of these funds are met.

- 1) Activities Allowed
  - A. Instructional services to students enrolled in Career and Technical Education courses/program areas as identified in the *North Carolina CTE Essential Standards* or approved Local Course Option(s) are allowed.
  - B. LEAs must comply with the requirements of the NC General Statutes, particularly Chapter 115C - Article 10, and policies established by the Department of Public Instruction.

- C. Allotments for Career and Technical Education months of employment are effective the date they are allotted and terminate on June 30 of each fiscal year.
- D. Only individuals in positions allotted by the State Board of Education who are professionally licensed in a CTE area by the Department of Public Instruction can be paid with PRC 013 months of employment. **Appendix B**
- E. Personnel salaries shall be based upon the *North Carolina Public School Salary Schedules*. [www.ncpublicschools.org/fbs/finance/salary/](http://www.ncpublicschools.org/fbs/finance/salary/)
- F. Funds for substitute teacher pay must be used in accordance with state regulations controlling sick leave and substitute pay. [www.ncpublicschools.org/fbs/finance/salary/](http://www.ncpublicschools.org/fbs/finance/salary/)
- G. The term of employment for personnel is determined by the Local Board of Education based on the instructional program to be implemented.
- H. Support services to Career and Technical Education students, which include career development, special populations, and **curriculum and** instructional management, are an allowable use of months of employment. Coordinators paid with state months of employment must be consistent with the individual job descriptions established for these positions.
  - i. A Career Development Coordinator (CDC) may be employed to implement a plan of work, which includes specific career guidance and counseling activities designed to enable LEAs to meet the Career and Technical Education performance standards. Five basic areas of services provided by Career Development Coordinators are: (1) Career Development; (2) Preparatory Services; (3) Transition Services; (4) Partnerships; and (5) Professional Development. The activities of the CDC are focused on the provision of direct services to students who are participating in Career and Technical Education. A 747 license is required. A sample job description is located in **Appendix G**.
  - ii. A Special Populations Coordinator (SPC) may be employed to serve members of special populations. Five basic areas of services provided by Special Populations Coordinators are: (1) Outreach/Recruitment; (2) Assessment and Prescription; (3) Coordination with Other Service Providers; (4) Monitoring Access, Progress and Success; and (5) Annual Accountability and Planning. The activities of the SPC are focused on the provision of direct student services designed to provide special populations students with the programs and support services needed to assist them in succeeding in their CTE program. Programs and services provided to special populations students must be designed to assist them to meet the state **determined** levels of performance identified for the **Perkins V** performance standards. A 770 license is required. A sample job description is located in **Appendix H**.
  - iii. A **Curriculum and** Instructional Management Coordinator (CIMC) may be employed to coordinate the Career and Technical Education instructional management system. Four major functions of Instructional Management Coordinators are: (1) Curriculum Management and Instructional Support; (2) Professional Development; (3) Accountability; and (4) Personal Work Habits. The activities of the CIMC are focused on providing support and technical assistance to CTE teachers and personnel within the local school system in implementing the computerized instructional management system. An 830 license is required. A sample job description is located in **Appendix I**.
- I. If program activities extend beyond the regular 10-month school year and extended employment is involved, a plan of work describing the instructional and/or instructional related activities of those personnel must be on file in the office of the administrator of CTE. Examples of a plan of work for the period of time school is not in session include



a calendar of activities or a daily list of activities. Sample plans of work are found in **Appendix F**.

- J. North Carolina General Statute 115C-302.1(b)(b2) states, Except for career and technical education agriculture teacher personnel positions as provided for in this subsection, State-allotted months of employment for career and technical education to local boards shall be used for the employment of teachers of career and technical education for a term of employment to be determined by the local boards of education. Beginning with the 2018-2019 school year, career and technical education agriculture personnel positions serving students in grades 9-12 shall be for a term of employment for 12 calendar months. A local board of education may apply on an annual basis to the Department of Public Instruction and the North Carolina State University, Agricultural and Extension Education, for a waiver of the months of employment (**Appendix P**) requirement for any upcoming school year when it is impracticable for the local board to provide adequate funds to support 12 months of employment for career and technical agriculture teachers.
- K. If two or more LEAs are consolidated into one LEA, the allotments of the Career and Technical Education months of employment shall not be less than those same allotments to the separate LEAs for the first and second full fiscal year of the consolidation and shall be used for the continuation of the positions and programs, except as specifically authorized by the State Board of Education.

## 2) Activities Not Allowed

- A. If personnel employed through months of employment (PRC 013) are not providing instructional services to students enrolled in Career and Technical Education courses/program areas as identified in the *North Carolina CTE Essential Standards*, salaries must be prorated. This includes personnel who are:
  - i. Assigned to instruct in non-Career and Technical Education courses.
  - ii. Assigned to instruct in courses not identified in the State Board of Education's approved *North Carolina CTE Essential Standards* or approved Local Course Option (LCO).
  - iii. Assigned more than an equitable share of duties relating to essential school services of a supportive and/or operational nature. Duties that take less than 5% of a person's time are typically considered *de minimus*, requiring no further explanation.

Calculations for pro-rations are based on instructional time. For example, a CTE teacher teaching in a school using the 4 x 4 block schedule is assigned one non-CTE assignment for one period for both semesters. That teacher is spending one out of three instructional periods in an activity not eligible for CTE funding for the entire school year. Therefore, this teacher's salary would need to be prorated as one-third non-CTE funding and two-thirds CTE funding. The planning period is not included in calculating the pro-ration, because it is always provided to allow a teacher to plan for each of his or her instructional assignments.

- B. If personnel are scheduled to teach an additional period during the school day, the additional compensation may not be paid from PRC 013 or 014. As stated in the *State Salary Manual, Extended Day and Extra Pay*, "Any work that a teacher does in the confines of the school day, which is established by the local board of education, does not constitute extended day and therefore makes this time ineligible for extra duty pay. State funds may **not** be used to pay certified instructional personnel for a planning period that is outside of the regular instructional day. Therefore, if a school decides to require a teacher to teach an additional class and to schedule their planning period after the regular instructional day, no State funds may be used to provide additional compensation."

### 3) Cost Principles

- A. All state months of employment, with the exception of a percent equal to the maximum state salary increase for the year, are included as a part of the match requirement. Therefore, positions paid from state Career and Technical Education funds are subject to 2 CFR 200.430 Compensation-Personal Services requirements.
- B. State funds (Central Office Administration funds, PRC 02) used for local CTE administration are also subject to 2 CFR 200.430 Compensation-Personal Services requirements, because they are used in meeting cost sharing or matching requirements.

### 4) Reporting

- A. To the Division of Career and Technical Education, Department of Public Instruction:
  - i. Student enrollment reports (PowerSchool)
  - ii. Concentrator follow-up reports (PowerSchool)
  - iii. Post-assessment achievement reports (Instructional Management System)
  - iv. **A comprehensive needs assessment (every two years)**
  - v. **A Local Application** via the online **Local Application System**
  - vi. LEAs must electronically submit monthly expenditures to the Department of Public Instruction as specified under the Uniform Education Reporting System (UERS). CTE Administrators should verify LEA policy for reporting these data.
  - vii. Credential Data Report (Instructional Management System)

## Budget Flexibility – ABC Transfer

The *School Based Management and Accountability Procedures Manual* offers local school systems flexibility in the development of local plans to improve student achievement.

<http://www.ncpublicschools.org/fbs/allotments/general>

Flexibility is provided through waivers of certain state regulations, laws and funding restrictions. Budget flexibility for Career and Technical Education funds are subject to the procedures specified in the North Carolina *Allotment Policy Manual* (<http://www.ncpublicschools.org/fbs/allotments/general/>) and the following limitations:

### **Local Education Agency Budgetary Flexibility**

Local Education Agencies shall provide maximum flexibility to schools in the use of funds to enable the schools to accomplish their goals. Following are the restrictions between allotment categories:

- 1) No funds shall be transferred into the central office administration allotment.
- 2) Funds for the Excellent Public Schools Act shall not be transferred.
- 3) Classroom teacher positions may be converted to dollars at the State average salary for the use of visiting international exchange teachers.
- 4) Classroom teacher and instructional support positions may be converted to dollars at the A00 value and used for any purpose allowable in the State Public School Fund except central office administration.
- 5) Principals positions may be converted to dollars at the first step of the principal III salary schedule and assistant principals at the first step of the assistant principal schedule. The converted positions may be used for any purpose allowable in the State Public School Fund except central office administration

- 6) Children with Disabilities funds may be transferred only as provided through any rules that the State Board of Education adopts to ensure compliance with federal regulations.
- 7) Transfers out of Career and Technical Education months of employment are allowable to Career Technical Education Program Support. The dollar amount transferred will equal the state average salary including benefits for Career Technical Education MOEs. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable. Transfers to other allotment categories are allowable with restrictions pursuant to G.S. 115C-105.25(5b)
- 8) Transfers out of Career Technical Education Program Support (PRC 014) for other categories are allowable up to the amount of increase in the State allotment for each fiscal year.
- 9) Transfers out of Career Technical Education Months of Employment (PRC 013) for other categories are allowable up to the amount of increase in the State allotment for each fiscal year.

### Use of Months of Employment

Months of Employment (MOEs) may be used to employ the following non-administrative personnel only.

- 1) Teaching Personnel: CTE licensed teaching personnel involved in student instruction in the classroom or laboratory for the specific program funded.
- 2) Support Services Personnel: Permanent and temporary non-teaching staff, which include Career Development Coordinators, Special Populations Coordinators, and Curriculum and Instructional Management Coordinators, are considered support services personnel. **Appendices G, H, and I**
- 3) Substitutes: Substitutes are allowed for instructional personnel paid from MOEs in accordance with state regulations governing sick leave and substitute pay.
- 4) Interim Teaching Personnel: Interim teaching personnel are paid as substitutes for up to ten consecutive workdays. If teaching for more than ten consecutive days, the teacher must be CTE licensed (including provisional licenses) in the subject area taught and paid based on the gross monthly-certified salary for the entire time taught. Payment of a non-licensed interim teacher for more than ten days at the substitute rate may be permitted provided a suitable licensed teacher is not available to be paid based on a substitute teacher pay in lieu of his/her license rating.
- 5) Part-time Instructional Personnel: Part-time instructional personnel paid on an hourly basis must be licensed in the applicable Career and Technical Education subject area.
- 6) Benefits for personnel employed through these funds include the following:
  - A. Retirement Contributions: Employer's retirement contributions are required for all full-time employees reported. This would not include part-time, temporary employees, or substitute teachers. However, personnel employed on a part-time basis in addition to a full-time assignment would be subject to retirement.
  - B. Social Security: Employer's social security contribution is required for all employees reported.
  - C. Hospitalization: Employer's state-funded hospital-medical insurance contributions are required for all full-time employees reported who elect the coverage. Hospitalization insurance must be documented by completed hospitalization forms to support claims.

## PRC 014 – Program Support Funds

**Purpose:** The purpose of these funds is to provide support for CTE program services and activities. These funds shall be used for instructional salaries, instructional support, and clerical personnel assisting LEAs in the expansion, improvement, modernization and development of quality CTE programs in grades 6-12. (General Statute 115C-156) In addition, PRC 014 funds may be used for career awareness activities to encourage 5<sup>th</sup> grade students to explore career pathways and prepare students for the transition to middle school career planning. (General Statute 115C-152)

### Eligibility

Each LEA is entitled to funding based on approval of the local **application** (CTE **Local Application System**) by the Department of Public Instruction. The **Local Application System** must be approved prior to the LEA receiving the allotment. Each LEA's funding is based on ADM in grades 8-12 and can be used to fund CTE programs in grades 6-12 and career awareness activities in grade 5. A local board of education that adopts a career awareness program for fifth grade students shall report on program activities and student outcomes from the prior school year to the State Board of Education by October 1 of each year.

### Formula

Allocations are made based on a formula, which provides a \$10,000 base amount to each LEA. The remainder of the available funds is allotted based on ADM in grades 8-12.

<http://www.ncpublicschools.org/fbs/allotments/state/>

*Planning allotments and allocations to LEAs for all state allotments will be reduced based on the number of students transferring to Charter Schools. An allotment reduction may also be taken for students enrolling in NCVPS courses. The NCVPS reduction is based on the funding formula as defined in Session Law 2011-145.*

### Compliance Requirements

#### 1) Activities Allowed

- A. State Career and Technical Education program support funds can be used in grades 6-12 only to provide Career and Technical Education personnel salaries, which include:
  - i. Licensed positions – instructional (teachers);
  - ii. Licensed positions – support services personnel (Career Development Coordinators, Special Populations Coordinators, and Instructional Management Coordinators); and
  - iii. Non-licensed positions – teacher assistants, technical assistants and clerical staff.
  - iv. Adjunct CTE Instructors (115C-157.1) – a local board of education may contract with an individual to serve as an adjunct instructor who meets the adjunct hiring criteria established by the State Board of Education (**EVAL 023**) for a specific career and technical education career cluster. The local board of education may contract with an adjunct instructor on an annual or semester basis, subject to the following requirements:

An Adjunct Instructor:

- (a) Shall be employed for no more than 20 hours per week or full-time for no more than five consecutive months.
- (b) Shall be subject to a criminal history check, to ensure that the person has not been convicted of any crime listed in G.S. 115C-332.
- (c) Shall not be required to hold or apply for licensure as a teacher.
- (d) Must hold any industry certifications required for teachers who are licensed to teach in the same areas as identified in CTED-004 and any that are specific to a particular course as identified in the CTE Director’s Guide to CTE Licensure.
- (e) Must meet any of the following criteria:
  - i. Work experience and education for CTE Restricted licenses contained in State Board Policy CTED-004 (Appendix B and O);
  - ii. Have a current or have held a teaching license in the related program area of instruction;
  - iii. Be employed at a community college as faculty teaching a related subject.
- (f) Must complete preservice training in all of the following areas prior to beginning instruction. Preservice training may be provided by the LEA or another institution approved by the LEA.
  - i. The identification and education of children with disabilities.
  - ii. Positive management of student behavior.
  - iii. Effective communication for defusing and deescalating disruptive or dangerous behavior.
  - iv. Safe and appropriate use of seclusion and restraint.
- (g) For the 2019-2020 school year, an individual who does not possess an associate or baccalaureate degree shall be deemed to meet the minimum criteria for employment as an adjunct instructor if the individual (i) can clearly demonstrate a minimum of six years in the last 10 years of professional experience in the relevant skill or trade and (ii) possesses a recognized industry credential or an active professional license that is required for each subject area or course.
- (h) Compensation Guidelines: LEAs should accumulate evidence and validate the education and experience of individuals hired as an adjunct instructor. LEAs should determine the hourly rate based on the education and experience of the individual. DPI recommends hourly rates based on the established scale for teacher pay between \$22.00 and \$38.00 per hour.

Years of Experience	Recommended Hourly Rate
0-4	\$22.00-\$24.66
5-9	\$24.67-\$27.33
10-14	\$27.34-\$30.00
15-19	\$30.01-\$32.67
20-24	\$32.67-\$35.33
25+	\$35.34-\$38.00

Note: It is recommended that the CTE Director approves the CTE Adjunct Instructor contract to ensure required criteria is met.

- B. Salaries must be prorated for personnel assigned other than Career and Technical Education duties in the manner described in Chapter II, PRC 013, and Compliance Requirements.
- C. Personnel employed through these funds are subject to 2 CFR 200.430 Compensation-Personal Services requirements.

- D. Staff travel for CTE instructional and support services personnel is allowed.
  - E. A plan of work describing the instructional and/or instructional related activities of personnel employed beyond the 10-month school year must be on file in the CTE administrator's office. Refer to **Appendix F** for samples of forms that might be used for this purpose.
  - F. Eligibility for the CTE administrator's secretary position shall be as follows: One position shall be based on the percentage of time an administrator is assigned to CTE (length of employment of the CTE administrator.) (i.e. If an Administrator is assigned CTE (50%) and other areas of responsibility (50%), CTE funds may only be used for up to 50% of the secretary salary.) Additional positions shall be based on one half-time (6-month) position for each additional full-time administrator to a maximum of two secretarial positions.
  - G. Eligibility for funding courses is based upon the *North Carolina Career and Technical Education Essential Standards* as defined and in Article 10, Chapter 115C of the NC General Statutes. Local course option courses are eligible for funding if approved by the **North Carolina Department of Public Instruction Career and Technical Education Department**.
  - H. Instructional equipment, materials, and/or supplies being used directly in a Career and Technical Education program are allowed.
  - I. Support for Career and Technical student organizations (CTSO) activities is allowed. Career and Technical student organizations are duly established and chartered entities that meet all the following criteria:
    - i. Are considered an integral part of instruction in CTE and, as such, contribute to the attainment of specified curriculum competencies within the recognized program areas of secondary CTE;
    - ii. Are supervised by qualified and licensed CTE personnel;
    - iii. Are affiliated with appropriate state and/or national organizational structures;
    - iv. Are organized and conducted in accordance with guidelines and policies of the State Board of Education (CTED-002) as specified by Career and Technical Education; and
    - v. Serve as a teaching strategy that contributes significantly to the motivation and total development of students through activities that develop leadership abilities, citizenship skills, and social competencies leading to a wholesome attitude about living and working.
- 2) Activities Not Allowed – State Career and Technical Education program support funds cannot be used to pay:
- A. Professional administrative salary and benefits
  - B. Local supplements to personnel
  - C. Non-instructional and personal CTSO items, including:
    - i. Lodging, meals, conveying or furnishing transportation to activities of social assemblage
    - ii. Purchasing of supplies, jackets, and other effects for students' personal use
    - iii. Costs of non-instructional activities, such as athletic, social or recreational events
    - iv. Printing and disseminating a non-instructional newsletter
    - v. Purchasing of awards for recognition of students, advisors or other individuals
    - vi. Payment of membership dues

D. If personnel are scheduled to teach an additional period during the school day, the additional compensation may not be paid from PRC 013 or 014. As stated in the *State Salary Manual, Extended Day and Extra Pay*, “Any work that a teacher does in the confines of the school day, which is established by the local board of education, does not constitute extended day and therefore makes this time ineligible for extra duty pay. State funds may **not** be used to pay certified instructional personnel for a planning period that is outside of the regular instructional day. Therefore, if a school decides to require a teacher to teach an additional class and to schedule their planning period after the regular instructional day, no State funds may be used to provide additional compensation.”

E. Dependent Care costs

3) Equipment & Real Property Management (2 CFR 200.439)

All assets such as moveable equipment should be recorded on the LEA’s fixed asset system according to the LEA’s capitalization policy. **Appendix D**

4) Period of Availability of State Funds (34 CFR 76.708)

All funds obligated for the current fiscal year must be expended in the current fiscal year.

5) Procurement & Suspension & Disbarment (34 CFR 76.707)

Obligations must be incurred in accordance with state purchasing requirements.

6) Reporting (34 CFR 76.720)

- A. LEAs must develop an education plan for meeting the needs of Career and Technical Education youth and certain adults in their areas. The **application** must be submitted online in the **Local Application System** to the Division of Career and Technical Education in the Department of Public Instruction for approval.
- B. LEAs must provide sufficient information in the **Local Application System** for the state to comply with provisions of state and federal laws, policies and guidelines.
- C. The LEA must submit monthly expenditures to the Department of Public Instruction as specified under the Uniform Education Reporting System (UERS). CTE Administrators should verify LEA policy for reporting these data.

Note: Funds may be transferred between CTE MOEs and PRC 014 Program Support. Transfers out of CTE PRC 014 Program Support for other categories are allowable up to the amount of increase in the State allotment for each fiscal year. Submit an ABC Transfer Form to transfer funds.

### Use of Funds: Types of Expenditures

Program Support funds can only be used to **supplement** the instructional program. These funds are not to be used to **supplant** state funds. *PRC 014 funds are used to meet federal cost sharing or matching requirements and, therefore, assume the characteristics of federal funds. Supplant means to take the place of. Federal funds must supplement and not supplant state or local funds. Federal funds may not free up state or local dollars for other purposes but should create or augment programs to an extent not possible without federal dollars.* **Appendix C** provides allowable line item codes for expenditures of funds.



These funds may be used to support the following activities when included in the Local **Application** and consistent with 2 CFR 200 Subpart E Cost Principles and 34 CFR 76.530 General Cost Principles:

- 1) Personnel
  - A. CTE staff salaries and benefits for
    - i. Teachers
    - ii. Career Development Coordinators
    - iii. Special Populations Coordinators
    - iv. Curriculum Instructional Management Coordinators
    - v. Teacher Assistants
    - vi. Technical Assistants
    - vii. Clerical staff
  - B. Staff travel for CTE instructional and support services personnel for job-related, non-personnel development activities required to carry out the duties of the position
  - C. Professional development activities – expenses approved by the LEA necessary for CTE staff to participate in professional development related to their areas(s) of responsibility
    - i. Travel
    - ii. Meals
    - iii. Lodging
    - iv. Registration fees
    - v. Substitute costs required to enable a CTE teacher to participate in a professional development activity
- 2) Program Support
  - A. Services for special populations students, which include the purchase of supplies, software, and hardware necessary for the provision of special populations services within the CTE program
  - B. Career development coordination services, which include the purchase of supplies, software, and hardware necessary for the provision of career development services within the CTE program
  - C. Support of the CTE instructional management system, which includes the purchase of supplies, software, and hardware necessary for the implementation of instructional management within the CTE program
  - D. Equipment and Instructional Aids
    - i. Only instructional equipment, materials, and/or supplies being used directly by students in a CTE program may be purchased with these funds. **Appendix F** *includes inventory forms that might be used to properly account for the equipment from purchase until appropriately sold or discarded.*
    - ii. Equipment may be used for other instructional purposes if such does not interfere with the primary use.
    - iii. The *Career and Technical Education Equipment Guide* (<http://www.ncpublicschools.org/cte/curriculum/equipment/>) identifies equipment standards for each program area and course within CTE and should be used in determining equipment needs for funded programs.
    - iv. Curriculum materials include supplemental textbooks and other audiovisual supplies and materials. **State-adopted or “primary use” textbooks and/or digital materials may not be purchased with these funds. Textbooks and/or digital materials should be purchased through state textbook and digital materials allocations.**
  - E. Activities involving academic integration



- F. Expanding opportunities for students to participate in distance career and technical education programs and blended learning programs
- G. Support to reduce or eliminate out-of-pocket expenses for special populations participating in career and technical education
- H. Career awareness activities beginning in grade 5 (A local board of education that adopts a career awareness program for fifth grade students shall report on program activities and student outcomes from the prior school year to the State Board of Education by October 1 of each year.)

3) Business-related Expenses

- A. Contracted services
- B. Advertising
- C. Printing/reproduction costs
- D. Telephone expenses incurred in conducting follow-up activities on CTE program concentrators, if not provided by the LEA or school
- E. Mobile communication
- F. Postage expenses incurred in conducting follow-up activities on CTE program concentrators, if not provided by the LEA or school
- G. Telecommunications expenses
- H. Repairs and labor

4) Student-related Expenses

- A. Transportation/field trips
  - i. Substitute Teacher Pay - to free CTE teacher to supervise students on an approved field trip.
  - ii. Staff Travel, Subsistence and Registration Fees: To be paid to CTE teacher for expenses incurred during the performance of official duties on an approved field trip.
    - 1. Field trip must be supervised by at least one qualified/licensed Career and Technical Education teacher. Supervision includes being present and an active chaperone during the field trip.
    - 2. Expenses for chaperones (in addition to CTE teachers), necessary to comply with local board policies for supervision of students, may be paid from CTE funds when those chaperones are supervising CTE students on approved field trip. Chaperones should be other CTE personnel or, when CTE personnel are not available, other school system personnel. Non-school system personnel may not be paid from CTE funds to chaperone students. Non-school personnel expenses may be paid from other sources per local guidelines and policies.
  - iii. Student Transportation: To be paid for costs incurred in transporting CTE students to and from an approved field trip. If an overnight field trip, student subsistence is a local responsibility.
- B. Work-based insurance
- C. Hepatitis B Virus Immunization for applicable programs
- D. Student background checks for clinical internships
- E. Supplementary textbooks

- F. Library books
  - G. Tuition fees
  - H. Work study students
  - I. Business & Industry Credentials (Object Code 351)
- 5) Career and Technical Student Organizations (CTSOs) Activities: The State Board of Education is committed to assisting with the financial support of Career and Technical student organizations. State CTE funds may be used to support Career and Technical student organization activities as outlined below:
- A. Stipends for CTE teachers serving as a CTSO Advisor. The maximum allowable amount of the stipend is \$500 per advisor per year, for CTSOs defined on the NC CTE website as a recognized CTSO. For further guidance, please see Appendix Q.
  - B. Career and Technical Student Organization Items Required for Competition: To cover the cost of supporting career and technical student organizations, including student preparation for and participation in technical skills competition aligned with career and technical education program standards and curricula. CTSO jackets used for competitive events may be purchased with these funds provided they remain the property of the LEA and controls are in place to monitor any such purchases. It remains advisable to use local funds when possible for such purchases. CTSO membership fees may not be paid from this fund source.
  - C. Regional and State Participation (Local policy may be more restrictive.)
    - i. Substitute Teacher Pay: To free Career and Technical student organization advisors to supervise students at state-approved student organization activities held on a regional or statewide basis.
    - ii. Staff Travel, Subsistence and Registration Fees: To be paid to Career and Technical student organization advisors for expenses incurred during the performance of official duties at state-approved student organization activities held on a regional or statewide basis.
      - 1. CTSOs must be supervised by at least one qualified/licensed Career and Technical Education teacher who must function as the advisor(s) of record throughout the year. Supervision includes being present and an active advisor at state and/or national CTSO events when a chapter participates in these events. (Reference - Fiscal and Policy Guide page 21, Section I)
      - 2. Expenses for chaperones (in addition to CTSO Advisor(s)), necessary to comply with local board policies for supervision of students, may be paid from CTE funds when those chaperones are supervising CTE students at state or national CTSO events. Chaperones should be other CTE personnel or, when CTE personnel are not available, other school system personnel. Non-school system personnel may not be paid from CTE funds to chaperone students. Non-school personnel expenses may be paid from other sources per local guidelines and policies.
    - iii. Student Travel, Hotel, And Registration Fees: To be paid for costs incurred for student registration and for transporting CTE students to and from regional and state CTSO competition, or for students who are state officer candidates, or for students serving as state officers if support is not currently provided by the state Career Technical Student Organization. If conference requires an overnight stay, student hotel may be paid from these funds. Meals may not be paid from this fund source. Please see appendix R for more information regarding current levels of support for officers.

- D. National CTSO Competition/National Officers: The following costs are eligible expenditures for CTSO activities at the national level for competing CTE students, and for CTE students attending the conference as state or national officers if funding is not already provided by the state/national CTSO. (Local policy may be more restrictive.) Please see appendix R for more information regarding current levels of support for officers.
- i. Substitute Teacher Pay: To free student organization advisor(s) to supervise students eligible to compete in national competitive events and students who are national officer candidates.
  - ii. Staff Travel, Subsistence, and Registration Fees: To pay round trip expenses for advisor(s) to supervise students eligible to compete in national competitive events and to supervise students who are national officer candidates.
    1. CTSOs must be supervised by at least one qualified/licensed Career and Technical Education teacher who must function as the advisor(s) of record throughout the year. Supervision includes being present and an active advisor at state and/or national CTSO events when a chapter participates in these events. (Reference - Fiscal and Policy Guide page 21, Section I)
    2. Expenses for chaperones (in addition to CTSO Advisor(s)), necessary to comply with local board policies for supervision of students, may be paid from CTE funds when those chaperones are supervising CTE students at state or national CTSO events. Chaperones should be other CTE personnel or, when CTE personnel are not available, other school system personnel. Non-school system personnel may not be paid from CTE funds to chaperone students. Non-school personnel expenses may be paid from other sources per local guidelines and policies.
  - iii. Student Travel, Hotel, And Registration Fees: To be paid for costs incurred for student registration and for transporting CTE students to and from national CTSO competition. If conference requires an overnight stay, student hotel may be paid from these funds. Meals may not be paid from this fund source.

6) Advisory Councils (**Appendix K**), Meetings and Conferences

The Office of Management and Budget provides 2 CFR 200 – Uniform Grant Guidance that establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursement contracts, and other agreements with state and local governments and federally recognized Indian tribal governments (governmental units).

The following items speak to the appropriate use of PRC 014 funds:

2 CFR 200.422: Advisory councils. Costs incurred by advisory councils or committees are allowable as a direct cost where authorized by the federal awarding agency or as an indirect cost where allocable to Federal awards. The expenditure must directly relate to the improvement of Career and Technical Education.

2 CFR 200.423: Alcoholic Beverages. Costs of alcoholic beverages are unallowable.

2 CFR 200.432: Meetings and conferences. Costs of meetings and conferences, the primary purpose of which is the dissemination of technical information and is necessary and reasonable are allowable. This includes costs of meals, transportation, rental of facilities, speakers' fees, and other items incidental to such meetings or conferences.

2 CFR 200.438: Entertainment. Costs of entertainment, including amusement, diversion, and social activities and any costs directly associated with such costs (such as tickets to shows or sports events, meals, lodging, rentals, transportation, and gratuities) are unallowable.

2 CFR 200.472: Training costs. The cost of training provided for employee development is allowable.

In addition to the references identified above, the U.S. Department of Education provides the following guidance as it relates to the purchase of food, beverages, and/or snacks:

Conference hosts/sponsors must exercise discretion and judgment in ensuring that conference costs are appropriate, necessary and managed in a manner that minimizes costs to the Federal award. Providing meals at conferences is allowable only when it is reasonable and necessary to the completion of the actual work. A working lunch may be a legitimate expense if the conference must conduct sessions at that time to keep the number of conference days to a minimum. Snacks and other meals typically do not meet the reasonable and necessary standard, because these costs can be purchased by attendees using non-grant funds and the snacks are not essential to the objectives of the conference.

Generally, there is a very high burden of proof to show that paying for food and beverages with Federal funds is necessary to meet the goals and objectives of a Federal grant. When a grantee is hosting a meeting, the grantee should structure the agenda for the meeting so that there is time for participants to purchase their own food, beverages, and snacks. In addition, when planning a meeting, grantees may want to consider a location in which participants have easy access to food and beverages.

While these determinations will be made on a case-by-case basis, and there may be some circumstances where the cost would be permissible, it is likely that those circumstances will be rare. Grantees, therefore, will have to make a compelling case that the unique circumstances they have identified would justify these costs as reasonable and necessary.

To evaluate the appropriateness of using PRC 014 funds, the following must be considered:

1. **Is a working lunch necessary?** Before deciding that a working lunch is necessary, plan the agenda and, consistent with these guidelines, determine if there are alternatives to working through lunch in order to accomplish all the key business of the conference or meeting.
2. **Is the portion of the agenda to be carried out during lunch substantive and integral to the overall purpose of the conference or meeting?** Attendance during a working lunch must be necessary to ensure attendees' full participation in substantive discussions, lectures, or speeches that are integral to the purpose of the meeting or conference.
3. **Is there a genuine time constraint that requires the working lunch?** If a conference or meeting agenda could, without unduly disrupting attendee schedules or making the day unreasonably long, ex. starting an hour earlier or ending an hour later, a working lunch might not be justified. If, however, a day-long meeting has been designed to save lodging costs and some participants must travel a considerable distance to attend, a working lunch might be appropriate because, absent the working lunch, it would not be possible for the host to cover the entire agenda and still provide participants sufficient time to return home in order to avoid the additional costs of lodging.
4. **If a working lunch is necessary, is the cost of the working lunch reasonable?** A working lunch, when necessary, must be reasonable in cost. Lunches that include extravagant components would not only raise appearance concerns, but also violate the requirements of the Education Department General Administrative Regulations.

5. **Has the meeting or conference host carefully documented that a working lunch is both reasonable and necessary?** If it has been determined that a working lunch is reasonable and necessary, the host should carefully document its justification for using funds for this purpose, including any cost savings that result in considerable cost savings compared to paying for meals during a working lunch.

It is important to note that PRC 014 funds are used to meet federal cost sharing or matching requirements and, therefore, assume the characteristics of federal funds. Supplant means to take the place of. Federal funds must supplement and not supplant state or local funds. Federal funds may not free up state or local dollars for other purposes but should create or augment programs to an extent not possible without federal dollars. The following items from the Office of State Budget and Management provide state requirements and limitations when state funds are utilized.

1. The meeting is planned in detail in advance, with a formal agenda.
2. There is a written invitation to participants.
3. Excess travel subsistence is unallowable and such meetings must be held in state facilities when available.
4. State funds to provide promotional or gift items to be distributed are unallowable.
5. State funds to support or underwrite a rally, celebration, reception, employee appreciation activity, or similar functions are unallowable.
6. State funds to support or underwrite a meeting, assembly, conference, seminar, or similar function by whatever name called that promotes any cause or purpose other than the mission and objective of the department are unallowable.

Although 2 CFR 200 – Uniform Grant Guidance and the North Carolina State Budget Manual provide these regulations, it is important to note that local education agencies may be more restrictive in program implementation.

- 7) Career and College Promise – Local community colleges receive state and federal funding to provide CTE post-secondary opportunities for high school students. Specific funding includes a base allotment and FTE (full-time equivalency) allotment. As part of the base allotment, community colleges receive funding for curriculum instruction, continuing education, and instructional and academic support. The FTE allotment is based on the number of students served and generates instructional resources and equipment funding to support these students. In addition, categorical funding (Perkins) is provided for additional support to the program. **Due to the state and federal funding provided through the North Carolina Community College System, the use of LEA CTE funds to pay for CCP expenses are limited as follows:**
  - A. Personnel: CTE funds may not be utilized to employ community college instructors, technical assistants, facilitators, or any other personnel for CCP courses.
  - B. Textbooks: CTE funding is not available for CCP or any other textbooks at this time. Community colleges and the LEA must work together to determine how to pay for students' textbooks.
  - C. Transportation: CTE funds are not available to provide student transportation. LEAs may help alleviate transportation costs by hosting college-level courses taught by community college faculty on the high school campus.
  - D. Fees: CTE funds may not be utilized for student fees. The community college and LEA must work together to minimize additional student fees.

- E. **Materials and Supplies:** CTE funds may be utilized for this type of expense only if the materials, supplies, etc. are not consumables and become the property of the LEA.
- F. **Equipment/Materials and Supplies/Rentals/Leases:** CTE funds may be utilized to purchase CTE CCP equipment and supplies if they are located on the high school campus and remain the property of the LEA.
- G. **Professional Development:** This expense is allowable if the professional development is specifically for community college AND CTE teachers. Funds may not be utilized to cover professional development expenses for CCP instructors only.
- H. **Student Credentials:** CTE funds may not be utilized.
- I. **CTSO Participation:** Students enrolled in CCP courses may participate in the respective CTSO available at their high school.

To ensure all students are provided the opportunity to participate in post-secondary education opportunities such as CTE CCP, it is imperative that the LEA and local community college work collaboratively to minimize, if not eliminate, the expense to the student. In accordance with Perkins V legislation, collaboration should include the identification and removal of barriers or challenges to ensure special population student participation and success. Although CTE funds may not be utilized for all CTE CCP expenses, it is important to note that local funds may be used. The collaboration mentioned in this section shall be documented through a local Memorandum of Understanding (MOU) outlining the responsibility (including fiscal responsibility) of the LEA and community college. For additional information pertaining to Career and College Promise, see **Appendix O**. To view an example of an LEA/Community College MOU go to: <https://tinyurl.com/y8af42hv>.

# PRC 064 – CTE Grade Expansion Program Grant

**Purpose:** The purpose of the grant is to provide funds for employing additional licensed personnel in career and technical education areas, career development coordination areas, and support service areas necessary for expanding the CTE program to sixth and seventh grade students (G.S. 115C-64.17).

## Eligibility

LEAs applying for the grant shall submit an application that includes at least the following:

1. A plan for expansion of the CTE program to sixth and seventh grade students, including the specific programs that will be expanded, the significance of CTE in the local school administrative unit, and how a grade expansion would enhance the education program and the community.
2. A request for the amount of funds, a description of how the funds will be used, and any other sources of funds available to accomplish the purposes of this program.
3. A proposed budget for seven years that provides detail on the use of the amount of funds to add personnel, increase career development efforts, and provide support services.
4. A strategy to achieve meaningful analysis of program outcomes due to the receipt of grant funds under this section.

## Formula

Funds appropriated shall be allocated to selected local school administrative units as competitive grants of up to seven hundred thousand dollars (\$700,000) for the 2017-2018 fiscal year and to the extent funds are available up to one million dollars (\$1,000,000) for the 2018-2019 fiscal year and subsequent years.

## Compliance Requirements

Grant funds shall be used only for employing additional licensed personnel in career and technical education areas, career development coordination areas, and support service areas necessary for expanding the CTE program to sixth and seventh grade students. The funds may be used for CTE programs at one or more schools in the local school administrative unit.

Each grant recipient shall submit to the Department of Public Instruction, Division of Career and Technical Education, an annual report for the preceding year in which grant funds were expended that provides at least the following information on the program for sixth and seventh grade students:

1. The use of grant funds, including the CTE programs and courses that have been expanded in the local school administrative unit to include sixth and seventh grade students.
2. The number of students enrolled in CTE courses as part of the expansion.

3. The number of students who subsequently enrolled in CTE courses in high school.
4. The number of students who subsequently participated in internships, cooperative education, or apprenticeship programs.
5. The number of students who subsequently earned college credit and approved industry certification and credentials.
6. Any other information the Division of Career and Technical Education deems necessary.

The Superintendent of Public Instruction shall provide a report to the Commission by October 15 of each year based on the information reported. The report should include how the grant recipients compare to CTE programs statewide and whether the programs are aligned with the Master Plan for Career and Technical Education adopted by the State Board.



# Federal Career and Technical Education Funds

## PRC 017 – Program Development, Coordination, Implementation or Improvement

**Purpose:** The purpose of the **Strengthening Career and Technical Education for the 21<sup>st</sup> Century Act (Perkins V)** is to develop more fully the academic **knowledge** and technical **and employability skills** of secondary education students and postsecondary education students who elect to enroll in Career and Technical Education programs **and programs of study**. The emphasis should be on the development, **coordination, implementation** or improvement of CTE programs. PRC 017 fund can be used for CTE students in grades **5 – 12** and postsecondary students.

### Eligibility

Each LEA is entitled to funding based on approval of the local **application** (CTE **Local Application System**) by the Department of Public Instruction. The **Local Application** must be approved prior to the LEA receiving the allotment.

### Formula

Allocations will be made to LEAs based on a formula, which includes the following factors.

- 1) 70% shall be allotted based upon the proportion of children in poverty ages 5-17 from the preceding fiscal year.
- 2) 30% shall be allotted based upon the proportion of children ages 5-17 from the preceding fiscal year.

Any LEA earning less than \$15,000, based on the formula, must enter a consortium with other LEAs, or be granted a waiver from the minimum grant requirement to be eligible for a grant.

<http://www.ncpublicschools.org/fbs/allotments/federal/>

*Planning allotments and allocations to LEAs determined by the formula listed above will be reduced to provide funding for Charter Schools. A charter school must submit a CTE local application in order to receive federal funding.*

## Compliance Requirements

Programs, services and activities supported with these funds may not be used to maintain the status quo, but to **develop, coordinate, implement or improve CTE programs.**

### 1) Activities Allowed

- A. Funds are expended in accordance with an approved line-item budget that is supported by strategies and activities described in the **Local Application System (LAS)**. This budget is submitted and managed through the Budget and Amendment System (BAAS).
- B. Budget amendments must be submitted to the Regional Coordinator for approval if any of the following occur:
  - i. A departure from the program described in the **local application**;
  - ii. Establishment of a new line-item;
  - iii. The deletion of an established line-item; or
  - iv. The transfer of funds from one line-item to another for the total year is more than 10% of the total budget.
  - v. The LBAAS system requires a quantity and per unit designation along with a detailed justification in line items 461, 462, 541, and 542. This includes the submission of the planning budget and revisions that may occur during the year.
- C. The local **application**, as approved by the local board of education and superintendent must meet the following criteria as per the Assurances and Certifications, and expenditures must be in agreement with the approved local application. The local application must include the components **as outlined in the Local Application section found in chapter 1 of this guide.**

### 2) Activities Not Allowed

- A. No more than 5% of the total allotment of PRC 017 funds may be used for administrative costs associated with the administration of activities assisted with Perkins funds. Administrative costs include funds expended for indirect costs as well as costs associated with the administration of the CTE program (6120 purpose codes).
- B. As stated in the *State Salary Manual, Extended Day and Extra Pay*, “Any work that a teacher does in the confines of the school day, which is established by the local board of education, does not constitute extended day and therefore makes this time ineligible for extra duty pay. State funds may **not** be used to pay certified instructional personnel for a planning period that is outside of the regular instructional day. Therefore, if a school decides to require a teacher to teach an additional class and to schedule their planning period after the regular instructional day, no State funds may be used to provide additional compensation.” In addition, if personnel are scheduled to teach an additional period during the school day, the additional compensation may not be paid from PRC 017.
- C. The use of federal Career and Technical Education funds (PRC 017) for income generating production work is prohibited. PRC 017 funds may not be utilized to purchase supplies and materials for production work. If these funds are utilized to purchase the supplies/materials, the proceeds including profit are considered program income and must be refunded to the Federal Awarding Agency. (Uniform Grant Guidance, Section 200.307, December 2014)
- D. Dependent Care costs

3) Period of Availability of State Funds (34 CFR 76.708)

All funds obligated for the current fiscal year must be expended in the current fiscal year.

4) Procurement & Suspension & Disbarment (34 CFR 76.707)

Obligations must be incurred in accordance with state purchasing requirements.

**Use of Funds: Requirements**

Perkins V requires that funds be used to support CTE programs that are of sufficient size, scope, and quality to be effective and that—

- 1) Provide career exploration and career development activities through an organized, systematic framework designed to aid students, including in the middle grades, before enrolling and while participating in a career and technical education program, in making informed plans and decisions about future education and career opportunities and programs of study, which may include—
  - A. introductory courses or activities focused on career exploration and career awareness, including non-traditional fields;
  - B. readily available career and labor market information, including information on—
    - i. occupational supply and demand;
    - ii. educational requirements;
    - iii. other information on careers aligned to State, local, or Tribal (as applicable) economic priorities; and
    - iv. employment sectors;
  - C. programs and activities related to the development of student graduation and career plans;
  - D. career guidance and academic counselors that provide information on postsecondary education and career options;
  - E. any other activity that advances knowledge of career opportunities and assists students in making informed decisions about future education and employment goals, including non-traditional fields; or
  - F. providing students with strong experience in, and comprehensive understanding of, all aspects of an industry;
- 2) Provide professional development for teachers, faculty, school leaders, administrators, specialized instructional support personnel, career guidance and academic counselors, or paraprofessionals, which may include—
  - A. professional development on supporting individualized academic and career and technical education instructional approaches, including the integration of academic and career and technical education standards and curricula;
  - B. professional development on ensuring labor market information is used to inform the programs, guidance, and advisement offered to students, including information provided under section 15(e)(2)(C) of the Wagner-Peyser Act (29 U.S.C. 491–2(e)(2)(C));
  - C. providing teachers, faculty, school leaders, administrators, specialized instructional support personnel, career guidance and academic counselors, or paraprofessionals, as appropriate, with opportunities to advance knowledge, skills, and understanding of all

- aspects of an industry, including the latest workplace equipment, technologies, standards, and credentials;
  - D. supporting school leaders and administrators in managing career and technical education programs in the schools, institutions, or local educational agencies of such school leaders or administrators;
  - E. supporting the implementation of strategies to improve student achievement and close gaps in student participation and performance in career and technical education programs;
  - F. providing teachers, faculty, specialized instructional support personnel, career guidance and academic counselors, principals, school leaders, or paraprofessionals, as appropriate, with opportunities to advance knowledge, skills, and understanding in pedagogical practices, including, to the extent the eligible recipient determines that such evidence is reasonably available, evidence-based pedagogical practices;
  - G. training teachers, faculty, school leaders, administrators, specialized instructional support personnel, career guidance and academic counselors, or paraprofessionals, as appropriate, to provide appropriate accommodations for individuals with disabilities, and students with disabilities who are provided accommodations under the Rehabilitation Act of 1973 (29 U.S.C. 701 et seq.) or the Individuals with Disabilities Education Act;
  - H. training teachers, faculty, specialized instructional support personnel, career guidance and academic counselors, and paraprofessionals in frameworks to effectively teach students, including a particular focus on students with disabilities and English learners, which may include universal design for learning, multi-tier systems of supports, and positive behavioral interventions and support; or
  - I. training for the effective use of community spaces that provide access to tools, technology, and knowledge for learners and entrepreneurs, such as makerspaces or libraries;
- 3) Provide within career and technical education the skills necessary to pursue careers in high-skill, high-wage, or in-demand industry sectors or occupations;
  - 4) Support integration of academic skills into career and technical education programs and programs of study to support—
    - A. CTE participants at the secondary school level in meeting the challenging State academic standards adopted under section 1111(b)(1) of the Elementary and Secondary Education Act of 1965 by the State in which the eligible recipient is located; and
    - B. CTE participants at the postsecondary level in achieving academic skills;
  - 5) Plan and carry out elements that support the implementation of career and technical education programs and programs of study and that result in increasing student achievement of the local levels of performance established under section 113, which may include—
    - A. a curriculum aligned with the requirements for a program of study;
    - B. sustainable relationships among education, business and industry, and other community stakeholders, including industry or sector partnerships in the local area, where applicable, that are designed to facilitate the process of continuously updating and aligning programs of study with skills that are in demand in the State, regional, or local economy, and in collaboration with business outreach staff in one-stop centers, as defined in section 3 of the Workforce Innovation and Opportunity Act (29 U.S.C. 3102), and other appropriate organizations, including community-based and youth-serving organizations;
    - C. where appropriate, expanding opportunities for CTE concentrators to participate in accelerated learning programs (as described in section 4104(b)(3)(A)(i)(IV) of the

Elementary and Secondary Education Act of 1965 (20 U.S.C. 7114(b)(3)(A)(i)(IV)), including dual or concurrent enrollment programs, early college high schools, and the development or implementation of articulation agreements as part of a career and technical education program of study;

- D. appropriate equipment, technology, and instructional materials (including support for library resources) aligned with business and industry needs, including machinery, testing equipment, tools, implements, hardware and software, and other new and emerging instructional materials;
- E. a continuum of work-based learning opportunities, including simulated work environments;
- F. industry-recognized certification examinations or other assessments leading toward a recognized postsecondary credential;
- G. efforts to recruit and retain career and technical education program teachers, faculty, school leaders, administrators, specialized instructional support personnel, career guidance and academic counselors, and paraprofessionals;
- H. where applicable, coordination with other education and workforce development programs and initiatives, including career pathways and sector partnerships developed under the Workforce Innovation and Opportunity Act (29 U.S.C. 3101 et seq.) and other Federal laws and initiatives that provide students with transition-related services, including the Individuals with Disabilities Education Act;
- I. expanding opportunities for students to participate in distance career and technical education and blended- learning programs;
- J. expanding opportunities for students to participate in competency-based education programs;
- K. improving career guidance and academic counseling programs that assist students in making informed academic and career and technical education decisions, including academic and financial aid counseling;
- L. supporting the integration of employability skills into career and technical education programs and programs of study, including through family and consumer science programs;
- M. supporting programs and activities that increase access, student engagement, and success in science, technology, engineering, and mathematics fields (including computer science and architecture) for students who are members of groups underrepresented in such subject fields;
- N. providing career and technical education, in a school or other educational setting, for adults or out-of- school youth to complete secondary school education or upgrade technical skills;
- O. supporting career and technical student organizations, including student preparation for and participation in technical skills competitions aligned with career and technical education program standards and curricula;
- P. making all forms of instructional content widely available, which may include use of open educational resources;
- Q. supporting the integration of arts and design skills, when appropriate, into career and technical education programs and programs of study;

- R. partnering with a qualified intermediary to improve training, the development of public-private partnerships, systems development, capacity-building, and scalability of the delivery of high-quality career and technical education;
  - S. support to reduce or eliminate out-of-pocket expenses for special populations participating in career and technical education, including those participating in dual or concurrent enrollment programs or early college high school programs, and supporting the costs associated with fees, transportation, child care, or mobility challenges for those special populations; or
  - T. other activities to improve career and technical education programs; and
- 6) Develop and implement evaluations of the activities carried out with funds under this part, including evaluations necessary to complete the comprehensive needs assessment required under section 134(c) and the local report required under section 113(b)(4)(B).

**Use of Funds: Types of Expenditures**

PRC 017 funds can only be used to **supplement** the instructional program. These funds are not to be used to **supplant** state funds. *Supplant means to take the place of. Federal funds must supplement and not supplant state or local funds. Federal funds may not free up state or local dollars for other purposes but should create or augment programs to an extent not possible without federal dollars.* **Appendix C** provides allowable line item codes for expenditures of funds.

When using **Perkins V** funds for activities required by the Act, the following are examples of the kinds of expenditures that are allowable. These funds may be used to support the following activities when included in the **Local Application** and consistent with 2 CFR 200 Subpart E Cost Principles and 34 CFR 76.530 General Cost Principles:

- 1) Personnel
  - A. CTE staff salaries and benefits for
    - i. Teachers
    - ii. Career Development Coordinators
    - iii. Special Populations Coordinators
    - iv. Instructional Management Coordinators
    - v. Teacher Assistants
    - vi. Technical Assistants

Note: Benefits includes Object Code 233 – Employer’s Unemployment Ins (Limited to 1% of salaries)
  - B. Personnel employed through these funds are subject to 2 CFR 200.430 Compensation-Personal Services requirements. **Appendix F**
  - C. Staff travel for CTE instructional and support services personnel for job-related, non-personnel development activities required to carry out the duties of the position
  - D. Professional development activities – expenses approved by the LEA necessary for CTE staff to participate in professional development related to their areas(s) of responsibility
    - i. Travel
    - ii. Meals
    - iii. Lodging
    - iv. Registration fees
    - v. Substitute costs required to enable a CTE teacher to participate in a professional development activity

- 2) Program **Development, Implementation and** Improvement
- A. Services for special populations students, which include the purchase of supplies, software, and hardware necessary for the provision of special populations services within the CTE program
  - B. Career development coordination services, which include the purchase of supplies, software, and hardware necessary for the provision of career development services within the CTE program
  - C. **Support for a comprehensive, systematic career awareness program beginning in fifth grade.**
  - D. Support of the CTE instructional management system, which includes the purchase of supplies, software, and hardware necessary for the implementation of instructional management within the CTE program
  - E. **Support for dual enrollment (allowable expenses only)** and articulation.
  - F. Equipment and Instructional Aids
    - i. Only instructional equipment, materials, and/or supplies being used directly by students in a CTE program may be purchased with these funds. **Appendix F** *has inventory forms that might be used to properly account for the equipment from purchase until appropriately sold or discarded.*
    - ii. Equipment may be used for other instructional purposes if such does not interfere with the primary use.
    - iii. The *Career and Technical Education Equipment Guide* (<http://www.ncpublicschools.org/cte/curriculum/equipment/>) identifies equipment standards for each program area and course within CTE and should be used in determining equipment needs for funded programs. 2 CFR 200.439. **Appendix D**
    - iv. Curriculum materials include supplemental textbooks and other audiovisual supplies and materials. **State-adopted or “primary use” textbooks and/or digital materials may not be purchased with these funds. Textbooks and/or digital materials should be purchased through state textbook and digital materials allocations.**
  - F. Activities involving academic integration
  - G. Career-themed learning communities
  - H. Support for nontraditional students
- 3) Business-related Expenses
- A. Contracted services
  - B. Advertising
  - C. Printing/reproduction costs
  - D. Telephone expenses incurred in conducting follow-up activities on CTE program concentrators, if not provided by the LEA or school
  - E. Mobile communication
  - F. Postage expenses incurred in conducting follow-up activities on CTE program concentrators, if not provided by the LEA or school
  - G. Telecommunications expenses
  - H. Repairs and labor

- 4) Student-related expenses
  - A. Transportation/field trips
    - i. Substitute Teacher Pay: To free CTE teacher to supervise students an approved field trip.
    - ii. Staff Travel, Subsistence and Registration Fees: To be paid to CTE teacher for expenses incurred during the performance of official duties on an approved field trip.
      - (a) Field trip must be supervised by at least one qualified/licensed Career and Technical Education teacher. Supervision includes being present and an active chaperone during the field trip.
      - (b) Expenses for chaperones (in addition to CTE teachers), necessary to comply with local board policies for supervision of students, may be paid from CTE funds when those chaperones are supervising CTE students on approved field trip. Chaperones should be other CTE personnel or, when CTE personnel are not available, other school system personnel. Non-school system personnel may not be paid from CTE funds to chaperone students. Non-school personnel expenses may be paid from other sources per local guidelines and policies.
    - iii. Student Transportation: To be paid for costs incurred in transporting CTE students to and from an approved field trip. If an overnight field trip, student subsistence is a local responsibility.
  - B. Work-based insurance
  - C. Hepatitis B Virus Immunization for applicable programs
  - D. Student background checks for clinical internships
  - E. Supplementary textbooks
  - F. Library books
  - G. Tuition fees
  - H. Examination Fees for industry standard credentials
- 5) Career and Technical Student Organizations (CTSOs) Activities: Federal CTE funds may be used to support Career and Technical student organization activities as outlined below, however, **these funds should supplement and not replace currently utilized resources:**
  - A. Stipends for CTE teachers serving as a CTSO Advisor. The maximum allowable amount of the stipend is \$500 per advisor per year, for CTSOs defined on the NC CTE website as a recognized CTSO. For further guidance, please see Appendix Q.
  - B. Career and Technical Student Organization Items Required for Competition: To cover the cost of supporting career and technical student organizations, including student preparation for and participation in technical skills competition aligned with career and technical education program standards and curricula. **The purchase of CTSO jackets, even if ownership is retained by the LEA, school or chapter, is prohibited with these funds.** CTSO membership fees may not be paid from this fund source.
  - C. Regional and State Participation (Local policy may be more restrictive.)
    - i. Substitute Teacher Pay: To free Career and Technical student organization advisors to supervise students at state-approved student organization activities held on a regional or statewide basis.
    - ii. Staff Travel, Subsistence and Registration Fees: To be paid to Career and Technical student organization advisors for expenses incurred during the



performance of official duties at state-approved student organization activities held on a regional or statewide basis.

1. CTSOs must be supervised by at least one qualified/licensed Career and Technical Education teacher who must function as the advisor(s) of record throughout the year. Supervision includes being present and an active advisor at state and/or national CTSO events when a chapter participates in these events. (Reference - Fiscal and Policy Guide page 21, Section I)
  2. Expenses for chaperones (in addition to CTSO Advisor(s)), necessary to comply with local board policies for supervision of students, may be paid from CTE funds when those chaperones are supervising CTE students at state or national CTSO events. Chaperones should be other CTE personnel or, when CTE personnel are not available, other school system personnel. Non-school system personnel may not be paid from CTE funds to chaperone students. Non-school personnel expenses may be paid from other sources per local guidelines and policies.
- iii. **Student Travel, Hotel, and Registration Fees:** To be paid for costs incurred for student registration and for transporting CTE students to and from regional and state CTSO competition, or for students who are state officer candidates, or for students serving as state officers if support is not currently provided by the state Career Technical Student Organization. If conference requires an overnight stay, student hotel may be paid from these funds. Meals may not be paid from this fund source. Please see appendix R for more information regarding current levels of support for officers.

B. National CTSO Competition/National Officers: The following costs are eligible expenditures for CTSO activities at the national level for competing CTE students, and for CTE students attending the conference as state or national officers if funding is not already provided by the state/national CTSO. (Local policy may be more restrictive.) Please see appendix R for more information regarding current levels of support for officers.

- i. Substitute Teacher Pay: To free student organization advisor(s) to supervise students eligible to compete in national competitive events and students who are national officer candidates.
- ii. Staff Travel, Subsistence, and Registration Fees: To pay round trip expenses for advisor(s) to supervise students eligible to compete in national competitive events and to supervise students who are national officer candidates.
  1. CTSOs must be supervised by at least one qualified/licensed Career and Technical Education teacher who must function as the advisor(s) of record throughout the year. Supervision includes being present and an active advisor at state and/or national CTSO events when a chapter participates in these events. (Reference - Fiscal and Policy Guide page 21, Section I)
  2. Expenses for chaperones (in addition to CTSO Advisor(s)), necessary to comply with local board policies for supervision of students, may be paid from CTE funds when those chaperones are supervising CTE students at state or national CTSO events. Chaperones should be other CTE personnel or, when CTE personnel are not available, other school system personnel. Non-school system personnel may not be paid from CTE funds to chaperone students. Non-school personnel expenses may be paid from other sources per local guidelines and policies.
- iii. **Student Travel, Hotel, And Registration Fees:** To be paid for costs incurred for student registration and for transporting CTE students to and from national

CTSO competition. If conference requires an overnight stay, student hotel may be paid from these funds. Meals may not be paid from this fund source.

- 6) Career and College Promise – Local community colleges receive state and federal funding to provide CTE post-secondary opportunities for high school students. Specific funding includes a base allotment and FTE (full-time equivalency) allotment. As part of the base allotment, community colleges receive funding for curriculum instruction, continuing education, and instructional and academic support. The FTE allotment is based on the number of students served and generates instructional resources and equipment funding to support these students. In addition, categorical funding (Perkins) is provided for additional support to the program. **Due to the state and federal funding provided through the North Carolina Community College System, the use of LEA CTE funds to pay for CCP expenses are limited as follows:**
  - A. Personnel: CTE funds may not be utilized to employ community college instructors, technical assistants, facilitators, or any other personnel for CCP courses.
  - B. Textbooks: CTE funding is not available for CCP or any other textbooks at this time. Community colleges and the LEA must work together to determine how to pay for students' textbooks.
  - C. Transportation: CTE funds are not available to provide student transportation. LEAs may help alleviate transportation costs by hosting college-level courses taught by community college faculty on the high school campus.
  - D. Fees: CTE funds may not be utilized for student fees. The community college and LEA must work together to minimize additional student fees.
  - E. Materials and Supplies: CTE funds may be utilized for this type of expense only if the materials, supplies, etc. are not consumables and become the property of the LEA .
  - F. Equipment/Materials and Supplies/Rentals/Leases: CTE funds may be utilized to purchase CTE CCP equipment and supplies if they are located on the high school campus and remain the property of the LEA.
  - G. Professional Development: This expense is allowable if the professional development is specifically for community college AND CTE teachers. Funds may not be utilized to cover professional development expenses for CCP instructors only.
  - H. Student Credentials: CTE funds may not be utilized.
  - I. CTSO Participation: Students enrolled in CCP courses may participate in the respective CTSO available at their high school.

To ensure all students are provided the opportunity to participate in post-secondary education opportunities such as CTE CCP, it is imperative that the LEA and local community college work collaboratively to minimize, if not eliminate, the expense to the student. In accordance with Perkins V legislation, collaboration should include the identification and removal of barriers or challenges to ensure special population student participation and success. Although CTE funds may not be allowable for all CTE CCP expenses, it is important to note that local funds may be utilized. The collaboration mentioned in this section shall be documented through a local Memorandum of Understanding (MOU) outlining the responsibility (including fiscal responsibility) of the LEA and community college. For additional information pertaining to Career and College Promise, see **Appendix O**. To view an example of an LEA/Community College MOU go to: <https://tinyurl.com/y8af42hv>.

## Definitions

1. *All aspects of an industry*: Strong experience in, and comprehensive understanding of, the industry that the individual is preparing to enter.
2. *Articulation agreement*: A written commitment that is agreed upon at the state level or approved annually by the lead administrators of a secondary institution and a postsecondary institution, or a sub baccalaureate degree granting postsecondary institution and a baccalaureate degree granting institution; and to a program that is designed to provide students with a non-duplicative sequence of progressive achievement leading to technical skill proficiency, a credential, a certificate or a degree and is linked through credit transfer agreements between the two institutions.
3. *Average Daily Membership*: The sum of the number of days in membership for all students in individual Local Education Agencies (LEAs), divided by the number of school days in the term.
4. *Capitalized Equipment*: An item described as a material unit that meets all of the following criteria:
  - A. It is non-expendable, that is if damaged or some of its parts are lost or worn out it is usually more feasible to repair it than replace it with an entirely new unit;
  - B. It has a life of more than one year;
  - C. It represents an acquisition cost of \$5,000 or more per unit.

LEAs may designate a fixed asset threshold lower than \$5,000. If, for example, an LEA sets a \$500 fixed asset threshold and labels all items costing \$500 or more that meet criteria (1) and (2) above, those items would be identified as capitalized equipment. Capitalized equipment should be inventoried. Use object codes 541 or 542 for capitalized equipment.
5. *Career guidance and academic counseling*: provides access for students (and, as appropriate, parents and out-of-school youth) to information regarding career awareness exploration opportunities and planning with respect to an individual's occupational and academic future; provides information to students (and, as appropriate, parents and out-of-school youth) with respect to career options, financial aid, job training, secondary and postsecondary options (including associate and baccalaureate degree programs), dual or concurrent enrollment programs, work-based learning opportunities, early college high schools, financial literacy, and support services, as appropriate; and may provide assistance for special populations with respect to direct support services that enable students to persist in and complete career and technical education, programs of study, or career pathways.
6. *Career and Technical Education (CTE)*: organized educational activities that—
  - A. offer a sequence of courses that—
    - i. provides individuals with rigorous academic content and relevant technical knowledge and skills needed to prepare for further education and careers in current or emerging professions, which may include high-skill, high-wage, or in-demand industry sectors or occupations, which shall be, at the secondary level, aligned with the challenging State

- academic standards adopted by a State under section 1111(b)(1) of the Elementary and Secondary Education Act of 1965;
    - ii. provides technical skill proficiency or a recognized postsecondary credential, which may include an industry-recognized credential, a certificate, or an associate degree; and
    - iii. may include prerequisite courses (other than a remedial course) that meet the requirements of this subparagraph;
  - B. include competency-based, work-based, or other applied learning that supports the development of academic knowledge, higher-order reasoning and problem-solving skills, work attitudes, employability skills, technical skills, and occupation-specific skills, and knowledge of all aspects of an industry, including entrepreneurship, of an individual;
  - C. to the extent practicable, coordinate between secondary and postsecondary education programs through programs of study, which may include coordination through articulation agreements, early college high school programs, dual or concurrent enrollment program opportunities, or other credit transfer agreements that provide postsecondary credit or advanced standing; and
  - D. may include career exploration at the high school level or as early as the middle grades (as such term is defined in section 8101 of the Elementary and Secondary Education Act of 1965).
- 7. *Career and Technical Student Organizations (CTSO)*: Those organizations for individuals enrolled in CTE programs, which engage in activities as an integral part of the instructional program. Such organizations may have State and national units, which aggregate the work and purposes of instruction in CTE at the local level. The following organizations currently exist in the eight program areas defined for Career and Technical Education in North Carolina:
  - Agriculture Education: *FFA, The Organization for Agricultural Education Students*;
  - Business Education: *FBLA, Future Business Leaders of America*;
  - Family Consumer Sciences Education: *FCCLA, Family, Career, and Community Leaders of America*;
  - Health Science Education: *HOSA, an Organization for Future Health Professionals*;
  - Marketing Education: *DECA, An Association of Marketing Students*;
  - Technology Education: *TSA, Technology Student Association*
  - Trade & Industrial Education: *SkillsUSA*
- 8. *Concentrator*: a student who has completed a concentrator course in an approved Career Pathway.
- 9. *Concentrator Course*: A second- or third-level course that builds upon skills acquired in a prerequisite course.
- 10. *CTE Participant*: an individual who completes not less than one course in a career and technical education program or program of study.
- 11. *Disbarment*: To take the grant award away from the recipient.
- 12. *Eligible Recipient*: A local educational agency (including a public charter school that operates as a local educational agency), an area Career and Technical Education school, an educational service agency, or a consortium, eligible to receive assistance under the Act’s provisions for distributing funds to secondary school programs, or, an eligible institution or consortium of eligible institutions eligible to receive assistance under the Act’s provisions for distribution of funds for postsecondary Career and Technical Education programs.
- 13. *Instructional Management System*: An electronic system that improves the instructional process by providing formative and summative assessments, documents student learning, and improves student achievement.

14. *Indirect Costs*: Those that have been incurred for common or joint purposes. Typical examples of indirect costs may include certain State/local-wide central service costs, general administration of the grantee department or agency, accounting and personnel services performed within the grantee department or agency, depreciation or use allowances on buildings and equipment, the costs of operating and maintaining facilities, etc.
15. *Local Application System (LAS)*: A web-based application used to complete and submit the application for federal and state CTE funding in North Carolina.
16. *Maintenance of Effort*: The CTE expenditures per student in the current fiscal year were equal to or greater than that of the previous fiscal year.
17. *Month of Employment (MOE)*: A unit of employment corresponding to a calendar month. Local Boards of Education, by authority of G.S. 115C-302. 1(b), determine the term of employment for their Career and Technical Education teachers. Full time positions can be from 10 to 12 months of employment. For example: 10 months of employment equal one full time position for an employment period of 10 calendar months.
18. *Non-Capitalized Equipment*: A material unit purchased as an initial, additional and replacement item of equipment for both instructional and support areas whose small unit cost and/or lease/purchase arrangement makes it inadvisable to capitalize the item.
19. *Performance Measures and Standards*: CTE performance indicators developed for North Carolina and identified in the **LAS** in response to the **indicators of performance mandated by The Strengthening Career and Technical Education for the 21<sup>st</sup> Century Act (Perkins V) Appendix E**
20. *School Facilities*: Classrooms and related facilities (including initial equipment) and interests in lands on which such facilities are constructed. Such term shall not include any facility intended primarily for events for which admission is to be charged to the general public.
21. *Special Populations*:
  - A. Individuals with disabilities
  - B. Individuals from economically disadvantaged families, including low-income youth
  - C. Individuals preparing for nontraditional fields
  - D. Single parents, including single pregnant women
  - E. **Out-of-workforce individuals**
  - F. **English learners**
  - G. **Homeless individuals described in section 725 of the McKinney-Vento Homeless Assistance Act**
  - H. **Youth who are in, or have aged out of, the foster care system**
  - I. **Youth with a parent who is a member of the armed forces; and is on active duty.**
22. *Supplant*: To take the place of. Federal funds must supplement and not supplant state or local funds. Federal funds may not free up state or local dollars for other purposes but should create or augment programs to an extent not possible without federal dollars.
23. *Supplemental Textbooks*: Textbooks that enhance the instructional program but are not considered the primary textbook for the course.
24. *Support Services*: The term support services means services related to curriculum modification, equipment modification, classroom modification and supportive personnel including CIMCs, SPCs and CDCs.

# Travel Regulations

## Purpose

Section 5 of the State Budget Manual, “Travel Policies and Regulations,” as published by the Office of State Budget and Management, <http://www.osbm.state.nc.us/>, sets forth travel policies and regulations establishing authorization for, and reimbursement of, expenditures for official travel. The following policies and regulations come from Section 5 of the State Budget Manual as adopted to meet the requirements of local education agencies for travel policies and regulations for authorization from state and federal funds administered by the State Board of Education.

## Registration Fees

Conference registration fees (no maximum) may be paid if supported by a valid receipt or invoice. Regulations stipulate that registration fees are not to exceed the actual amount expended as shown by a valid receipt or invoice. This rule applies to in-state or out-of-state conferences. Employees may not claim separate reimbursement for meals included in registration fees when the cost of the meals are included as part of a registration fee.

## Subsistence Expenses

The daily maximum allowable statutory rate for the reimbursement of travel and subsistence costs for official business is **\$114.50 for in-state travel** and **\$130.80** for out-of-state travel. The following shall be used for reporting allowable subsistence expenses while traveling on official school unit business:

	<i>In State</i>	<i>Out of State</i>
<i>Breakfast</i>	8.60	8.60
<i>Lunch</i>	11.30	11.30
<i>Dinner</i>	19.50	22.20
<i>Lodging (Actual cost up to)</i>	75.10	88.70
<b>TOTAL</b>	<b>\$114.50</b>	<b>\$130.80</b>

**Lodging**

Payment of sales tax, local tax, or service fees applied to the cost of lodging is to be paid in addition to the daily subsistence amount. The employee may exceed the ceiling allocated for lodging without approval for over expenditure provided that the total lodging and food reimbursement does not exceed the maximum allowed per day.

Written approval by an official designated by the local superintendent must be obtained in order to qualify for reimbursement for overnight stay. Excess lodging authorizations must be obtained in advance from the local superintendent or designee.

Requests for reimbursement must be filed within thirty days after the travel period ends.

Specific dates of lodging must be listed on the reimbursement request and substantiated by a receipt from a commercial lodging establishment, not to exceed \$75.10 per night for in-state or \$88.70 per night for out-of-state.

The statutory subsistence rate is inclusive of personal gratuities, except baggage and handling tips, which may be claimed for porters at terminals and hotels as other expenses.

**Meals**

Each meal reimbursement must be listed on the reimbursement request. Tips for meals are included in the food allowance. Times of departure and arrival must be listed on the reimbursement request. The costs of meals included in other related activities (registration fees, conference costs, hotel registration, etc.) may not be duplicated in reimbursement requests.

Employees may receive *allowances for meals for partial days of travel* when the partial day is the day of departure or the day of return. To be eligible, the employee must:

- Breakfast      Depart duty station prior to 6:00 a.m.
- Lunch            Depart duty station prior to Noon. (Day of departure) Return to duty station after 2:00 p.m. (Day of return)
- Dinner          Depart duty station prior to 5:00 p.m. (Day of departure) or return to duty station after 8:00 p.m. (Day of return) and extend the workday by three hours.

*Allowances shall not be paid to employees for lunches if travel does not involve an overnight stay. To be eligible for allowances for the breakfast and dinner meals, employee must:*

- Breakfast      Depart duty station prior to 6:00 a.m. and extend the normal workday by two hours.
- Dinner          Return to duty station after 8:00 p.m. and extend the normal workday by three hours.
- Both meals      To be eligible for both breakfast and dinner meal allowances, (1) the employee must have worked five hours longer than the normal workday and (2) the travel must involve a travel destination located at least 35 miles from the employees regularly assigned duty station.



*Reimbursement to employees for lunches eaten while on official state business* may be made only in the following circumstances:

1. When the employee is on overnight travel status;
2. When the cost of the lunch is included as part of a registration fee for a formal conference, assembly, etc. Such conferences must involve the active participation of persons other than the employees of a single school unit and must be necessary for conducting official state business. The registration fee must not be exclusively for the lunch;
3. When an employee's job requires attendance at a meeting of a local board, committee, commission, or council, in their official capacity, and the lunch is preplanned as part of the meeting for the entire board, committee, commission or council; and
4. When the lunch is included as an integral part of a conference, assembly, etc. Such conference must involve the active participation of persons other than the employees of a single school unit; the employee's attendance must be required for the performance of his/her duties but must not be part of that employee's normal day-to-day business activities; and the conference must be planned in advance with a formal agenda and include a written notice or invitation to participants.

No excess will be allowed for meals unless such costs are included in registration fees and/or there are pre-determined charges.

## Transportation

Actual mileage is reimbursable. Mileage is measured from the closer of duty station or point of departure to destination and return. The business standard mileage rate set by the Internal Revenue Service (currently 58 cents per mile) will be paid. Parking fees, tolls, and storage fees are reimbursable when the required receipts are obtained.

No reimbursement shall be made for the use of a personal car in commuting from an employee's home to duty station.

Reimbursement for travel between the employee's duty station and the nearest airline terminal and for appropriate parking may be made for travel by:

1. Taxi or Airport Shuttle – actual costs with receipts.
2. Private car – 58 cents per mile for a maximum of two round trips with no parking charges or for one round-trip with parking charges. Receipts are required for airport parking claims.
3. Use of Public Transportation – In lieu of taxi or airport shuttle, employees can be reimbursed without receipts \$5 for each one-way trip either from the airport to hotel/meeting or from the hotel/meeting to the airport.



Reimbursement for travel to and from the airline terminal at the employee's destination may be made where travel is via most economical mode available as listed below:

1. Taxi or Airport Shuttle service – Actual costs with receipts.
2. Rental vehicles – May be used with the prior approval of the superintendent or his/her designee; however, rental vehicles may not be used for the sole convenience of the employee (receipt required).
3. Use of Public Transportation – In lieu of using a taxi or airport shuttle, employees can be reimbursed without receipts \$5 for each one-way trip either from the airport to hotel/meeting or from the hotel/meeting to the airport.

When a local school-owned vehicle is used for official travel, the vehicle operator may be reimbursed for parking, storage fees and tolls provided necessary receipts are obtained. Required emergency repairs are not reimbursable from state and federal funds.

### General Travel Information

Under no circumstances may duplicate reimbursement be made for any portion of an employee's expenses paid or reimbursed from a local, state and/or federal fund sources. All travel is contingent upon the availability of funds in the approved budget.

Employees will be responsible for unauthorized costs and any additional expenses incurred for personal preference or convenience.

The meal reimbursement rate is inclusive of gratuities.

All travel must be authorized by the local superintendent or his or her designee.

Excess subsistence authorization for lodging for school unit employees must be approved in writing in advance.

Employees who travel on school unit business may be issued advances in order that personal funds will not be required. Fiscal records must be maintained by the school unit for proper control.

If the total lodging and food allowance costs exceed the maximum allowed (\$114.50 in-state and \$130.80 out-of-state), advance authorization for excess expenditures for in-state or out-of-state travel of employees is required by the local superintendent or his or her designee. Unless otherwise prohibited, approval for excess lodging expenditures may be considered when a traveler is in a high cost area and unable to secure lodging within the current allowance, or the employee submits in writing that his/her personal safety or security is unattainable within the current allowance. Receipts are required for reimbursement.

## Licensure Requirements for Program Areas in Career and Technical Education

Specific licensure requirements for each code may be obtained from the LEAs personnel office. Ask for the *North Carolina Licensure Manual for Public School Professionals*. <http://www.ncpublicschools.org/licensure/>

State Board Policy [LICN-001](#) – Policies on General Licensure Requirements

In addition, *The CTE Licensure Policies and Procedures Manual (CTED-004)* and the *Guide to CTE Licensure* may be found on the CTE Administrator Moodle. The purpose of these resources are to assist Local Education Agency (LEA) and Department of Public Instruction (DPI) staff to determine requirements for (i) initial licensing of teaching candidates, and

(ii) current faculty to add additional areas of licensure.

Requirements for teachers entering the profession through the **Residency** process should follow the guidance in State Board of Education policy [LICN-001](#). **CTE** Restricted License processes require that teaching candidates have related work experience for the CTE program area as well as the related degree. The policy governing CTE Restricted licenses is [CTED-004](#).

SBOE Policy Manual

[https://simbli.eboardsolutions.com/SB\\_ePolicy/SB\\_PolicyOverview.aspx?S=10399](https://simbli.eboardsolutions.com/SB_ePolicy/SB_PolicyOverview.aspx?S=10399)

## Career and Technical Expenditures by Fund Source

PRC 013 – State Months of Employment  
 PRC 014 – State Program Support  
 PRC 017 – Federal Program Improvement  
 PRC 064 – CTE Grade Expansion Program Grant  
 Local – (not shown) Local funds may be used for all purpose and object codes

### Program Report Code Comparison PRC 013, 014, 017, 064

<b>Purpose</b>	
PRC 013	Employ personnel in areas of CTE instruction, instructional management, career development coordination, and special populations support.
PRC 014	Provide support for CTE programs and activities and CTE personnel assisting in the expansion, modernization and development of quality CTE programs in grades 6-12. Funds may be used for career awareness activities to encourage 5 <sup>th</sup> grade students to explore career pathways and prepare students for the transition to middle school career planning.
PRC 017	Develop more fully the academic and technical skills of secondary students and postsecondary students who elect to enroll in Career and Technical Education (CTE). Emphasis is on development of new programs or improvement of existing programs.
PRC 064	To provide funds for employing additional licensed personnel in career and technical education areas, career development coordination areas, and support service areas necessary for expanding the CTE program to sixth and seventh grade students.

<b>Eligibility</b>	
PRC 013	<ol style="list-style-type: none"> <li>1. Approved Local <b>Application</b></li> <li>2. CTE personnel licensed in CTE area in which they are teaching and/or assigned</li> </ol>
PRC 014	<ol style="list-style-type: none"> <li>1. Approved Local <b>Application</b></li> <li>2. LEAs entitled to funding based on ADM in grades 8-12</li> </ol>
PRC 017	Approved Local <b>Application</b>
PRC 064	Approved CTE Grade Expansion Program Grant

<b>Formula</b>	
PRC 013	Base of 50 months + allotment based on ADM in grades 8-12
PRC 014	Base of \$10,000 + available allotment based on ADM in grades 8-12
PRC 017	<ol style="list-style-type: none"> <li>1. 70% allotted based on proportion of children in poverty ages 5-17</li> <li>2. 30% allotted based on proportion of children ages 5-17</li> </ol>
PRC 064	Funds appropriated shall be allocated to selected local school administrative units as competitive grants of up to seven hundred thousand dollars (\$700,000) for the 2017-2018 fiscal year and to the extent funds are available up to one million dollars (\$1,000,000) for the 2018-2019 fiscal year and subsequent years.

<b>Use of Funds</b>	
PRC 013	<p style="text-align: center;"><b>PERSONNEL</b></p> <ol style="list-style-type: none"> <li>1. Salaries (in Months of Employment) for: Teachers, Support Services Personnel (CDC, SPC, IMC), Substitutes, Interim Teaching Personnel, Part-time Instructional Personnel</li> <li>2. Benefits for employed personnel – Retirement, Social Security, Hospitalization</li> </ol>
PRC 014	<p style="text-align: center;"><b>PROGRAM SUPPORT</b></p> <ol style="list-style-type: none"> <li>1. Services for support programs: special populations students, career development coordination, and instructional management</li> <li>2. <b>CTE Programs of Study</b>, including articulation agreements</li> <li>3. Equipment (including rentals/leases and computer equipment)</li> <li>4. Instructional aids (materials and supplies, software and computer supplies)</li> <li>5. Activities involving academic integration</li> <li>6. Support for nontraditional students</li> </ol> <p style="text-align: center;"><b>BUSINESS-RELATED EXPENSES</b></p> <ol style="list-style-type: none"> <li>7. Contracted Services</li> <li>8. Advertising</li> <li>9. Printing/reproduction costs</li> <li>10. Telephone/mobile communication</li> <li>11. Postage</li> <li>12. Telecommunication expenses</li> <li>13. Repairs/labor</li> </ol> <p style="text-align: center;"><b>STUDENT-RELATED EXPENSES</b></p> <ol style="list-style-type: none"> <li>14. Transportation/field trips</li> <li>15. Work-based insurance</li> <li>16. Hepatitis B Virus Immunization</li> <li>17. Student background checks</li> <li>18. Supplementary textbooks</li> <li>19. Library books</li> <li>20. Credentials</li> <li>21. Work study students</li> </ol> <p style="text-align: center;"><b>CTSO ACTIVITIES</b></p> <ol style="list-style-type: none"> <li>22. Substitutes for advisors</li> <li>23. Travel/subsistence/lodging/registration for advisors</li> <li>24. <b>Student transportation/registration fee/lodging</b></li> <li>25. CTSO instruction-related supplies and materials</li> </ol>

<p>PRC 017</p>	<p style="text-align: center;"><b>PROGRAM IMPROVEMENT</b></p> <ol style="list-style-type: none"> <li>1. Services for support programs: special populations students, career development coordination, and instructional management</li> <li>2. <b>CTE Programs of Study</b>, including articulation agreements</li> <li>3. Equipment (including rentals/leases and computer equipment)</li> <li>4. Instructional aids (materials and supplies, software and computer supplies)</li> <li>5. Activities involving academic integration</li> <li>6. Support for nontraditional students</li> </ol> <p style="text-align: center;"><b>BUSINESS-RELATED EXPENSES</b></p> <ol style="list-style-type: none"> <li>7. Contracted Services</li> <li>8. Advertising</li> <li>9. Printing/reproduction costs</li> <li>10. Telephone/mobile communication</li> <li>11. Postage</li> <li>12. Telecommunication expenses</li> <li>13. Repairs/labor</li> </ol> <p style="text-align: center;"><b>STUDENT-RELATED EXPENSES</b></p> <ol style="list-style-type: none"> <li>14. Transportation/field trips</li> <li>15. Work-based insurance</li> <li>16. Hepatitis B Virus Immunization</li> <li>17. Student background checks</li> <li>18. Supplementary textbooks</li> <li>19. Library books</li> <li>20. Credentials</li> </ol> <p style="text-align: center;"><b>CTSO ACTIVITIES</b></p> <ol style="list-style-type: none"> <li>21. Substitutes for advisors</li> <li>22. Travel/subsistence/lodging/registration for advisors</li> <li>23. <b>Student transportation/registration fee/lodging</b></li> <li>24. CTSO instruction-related supplies and materials</li> </ol>
<p>PRC 064</p>	<p style="text-align: center;"><b>CTE GRADE EXPANSION PROGRAM GRANT</b></p> <p>Funds must be used for employing additional licensed personnel in career and technical education areas, career development coordination areas, and support service areas necessary for expanding the CTE program to sixth and seventh grade students.</p>

5110 Regular Curricular Services		PRC 013	PRC 014	PRC 017	PRC 064
5110-xxx-163	Regular Curricular – Substitute Pay – Staff Dev.		●	●	
5110-xxx-196	Regular Curricular – Staff Dev. Participant Pay		●	●	
5110-xxx-211	Regular Curricular – Employer’s Soc Sec Regular		●	●	
5110-xxx-221	Regular Curricular – Employer’s Retirement – Regular		●	●	
5110-xxx-233	Regular Curricular – Employer’s Unemployment Insurance			●	
5110-xxx-312	Regular Curricular – Workshop Exp/Allowable Travel		●	●	
5110-xxx-332	Regular Curricular – Travel Reimbursement		●	●	
5120 CTE Curricular Services		PRC 013	PRC 014	PRC 017	PRC 064
5120-xxx-121	CTE - Salary – Teacher	●	●	●	●
5120-xxx-122	CTE - Salary – Interim Teacher – Noncertified	●	●	●	
5120-xxx-124	CTE - Salary – VIF	●	●	●	
5120-xxx-131	CTE - Salary – Instructional Support I – Reg.	●	●	●	
5120-xxx-142	CTE - Salary – TA (Cannot serve below Grade 6)		●	●	
5120-xxx-143	CTE - Salary – Tutor		●	●	
5120-xxx-146	CTE - Salary – Specialist (School-Based)		●	●	
5120-xxx-148	CTE - Salary – Non-Certified Instructor (Adjunct position)		●		
5120-xxx-162	CTE - Substitute Pay – Reg. Absence	●	●	●	●
5120-xxx-163	CTE - Substitute Pay – Staff Dev.		●	●	
5120-xxx-164	CTE - Salary – Full Time Sub – Non-Cert.	●	●	●	
5120-xxx-166	CTE - Teacher Assistant Pay – Staff Dev.		●	●	
5120-xxx-167	CTE - Teacher Assistant Pay – Reg. Absence	●	●	●	

5120 CTE Curricular Services		PRC 013	PRC 014	PRC 017	PRC 064
5120-xxx-177	CTE - Work Study Student		●		
5120-xxx-181	CTE - Supplement/Supplementary Pay (only for positions supported by PRC 017 funds to match local supplement)			●	
5120-xxx-184	CTE - Longevity Pay	●	●	●	
5120-xxx-185	CTE - Bonus Leave Payoff	●	●		
5120-xxx-188	CTE - Annual Leave Payoff	●	●		
5120-xxx-189	CTE - Short Term Disability – First Six	●	●	●	
5120-xxx-191	CTE - Curriculum Development Pay		●	●	
5120-xxx-192	CTE - CTSO Advisor Stipend (Up to \$500 per advisor)		●	●	
5120-xxx-193	CTE - Mentor Stipend			●	
5120-xxx-196	CTE - Staff Dev. Participant Pay		●	●	
5120-xxx-197	CTE - Staff Development Instructor		●	●	
5120-xxx-198	CTE - Tutorial Pay (Not for remediation)			●	
5120-xxx-199	CTE - Overtime Pay		●	●	
5120-xxx-211	CTE - Employer’s Soc. Sec. – Reg.	●	●	●	●
5120-xxx-221	CTE - Employer’s Retirement – Reg.	●	●	●	●
5120-xxx-231	CTE - Employer’s Hospitalization Insurance	●	●	●	●
5120-xxx-232	CTE - Employer’s Workers’ Comp Insurance	●	●	●	●
5120-xxx-233	CTE - Employer’s Unemployment Insurance			●	●
5120-xxx-234	CTE - Employer’s Dental Insurance	●	●	●	
5120-xxx-311	CTE - Contracted Services		●	●	
5120-xxx-312	CTE - Workshop Expense/Allowable Travel		●	●	
5120-xxx-313	CTE - Advertising Cost		●	●	
5120-xxx-314	CTE - Printing and Binding Fees		●	●	
5120-xxx-315	CTE - Reproduction Costs		●	●	
5120-xxx-319	CTE - Other Professional/Technical Svc.		●	●	
5120-xxx-326	CTE - Contracted Repairs – Equipment		●	●	

5120 CTE Curricular Services		PRC 013	PRC 014	PRC 017	PRC 064
5120-xxx-327	CTE - Rentals/Leases		●	●	
5120-xxx-332	CTE - Travel Reimbursement		●	●	
5120-xxx-333	CTE - Field Trips		●	●	
5120-xxx-341	CTE - Telephone		●	●	
5120-xxx-342	CTE - Postage		●	●	
5120-xxx-343	CTE - Telecommunications Services		●	●	
5120-xxx-344	CTE - Mobile Communication		●	●	
5120-xxx-351	CTE - Tuition Fees		●	●	
5120-xxx-352	CTE - Employee Education Reimbursement		●	●	
5120-xxx-379	CTE - Other Insurance & Judgments		●	●	
5120-xxx-411	CTE - Supplies and Materials		●	●	
5120-xxx-413	CTE - Other Textbooks		●	●	
5120-xxx-414	CTE - Library Books		●	●	
5120-xxx-418	CTE - Computer Software & Supplies		●	●	
5120-xxx-422	CTE - Repair Parts, Materials & Labor		●	●	
5120-xxx-461	CTE - Furniture and Equipment –		●	●	
5120-xxx-462	CTE - Computer Equipment – Inventoried		●	●	
5120-xxx-471	CTE - Sales and Use Tax Expense		●	●	
5120-xxx-541	CTE - Equipment Purchase – Capitalized		●	●	
5120-xxx-542	CTE - Computer Hardware Purchase - Capitalized		●	●	
5220 CTE Special Populations Services		PRC 013	PRC 014	PRC 017	PRC 064
5220-xxx-131	CTE - Special Populations – Salary - Instructional Support I – Regular	●	●	●	
5220-xxx-143	CTE - Special Populations – Salary – Tutor		●	●	
5220-xxx-146	CTE - Special Populations – Salary – Specialist			●	
5220-xxx-183	CTE - Special Populations – Bonus Pay		●	●	
5220-xxx-184	CTE - Special Populations – Longevity Pay	●	●	●	
5220-xxx-185	CTE - Special Populations – Bonus Leave	●	●		



5220 CTE Special Populations Services		PRC 013	PRC 014	PRC 017	PRC 064
5220-xxx-188	CTE - Special Populations – Annual Leave	●	●		
5220-xxx-189	CTE - Special Populations – Short Term Disability – First Six Months	●	●	●	
5220-xxx-196	CTE - Special Populations – Staff Dev. Participant Pay		●	●	
5220-xxx-197	CTE - Special Populations – Staff Development Instructor		●	●	
5220-xxx-199	CTE - Special Populations – Overtime Pay		●	●	
5220-xxx-211	CTE - Special Populations – Employer’s Soc. Sec. – Reg.	●	●	●	
5220-xxx-221	CTE - Special Populations – Employer’s Retirement – Reg.	●	●	●	
5220-xxx-231	CTE - Special Populations – Employer’s Hospitalization Ins.	●	●	●	
5220-xxx-232	CTE - Special Populations – Employer’s Workers’ Comp Insurance	●	●	●	
5220-xxx-233	CTE - Special Populations –Employer’s Unemployment			●	
5220-xxx-311	CTE - Special Populations – Contracted Services		●	●	
5220-xxx-312	CTE - Special Populations – Workshop Expense/Allowable Travel		●	●	
5220-xxx-313	CTE - Special Populations – Advertising Cost		●	●	
5220-xxx-314	CTE - Special Populations – Printing and Binding Fees		●	●	
5220-xxx-315	CTE - Special Populations – Reproduction Costs		●	●	
5220-xxx-326	CTE - Special Populations – Contracted Repairs – Equipment		●	●	
5220-xxx-327	CTE - Special Populations – Rentals/Leases		●	●	
5220-xxx-332	CTE - Special Populations – Travel Reimbursement		●	●	
5220-xxx-341	CTE - Special Populations – Telephone		●	●	
5220-xxx-342	CTE - Special Populations – Postage		●	●	
5220-xxx-343	CTE - Special Populations – Telecommunications Services		●	●	
5220-xxx-344	CTE - Special Populations – Mobile Comm.		●	●	

5220 CTE Special Populations Services		PRC 013	PRC 014	PRC 017	PRC 064
5220-xxx-351	CTE - Special Populations – Tuition Fees		●	●	
5220-xxx-352	CTE - Special Populations – Employee Ed. Reimbursement		●	●	
5220-xxx-411	CTE - Special Populations – Supplies and Materials		●	●	
5220-xxx-413	CTE - Special Populations – Other Textbooks		●	●	
5220-xxx-414	CTE - Special Populations – Library Books		●	●	
5220-xxx-418	CTE - Special Populations – Computer Software & Supplies		●	●	
5220-xxx-422	CTE - Special Populations – Repair Parts, Materials & Labor		●	●	
5220-xxx-461	CTE - Special Populations – Furniture and Equipment – Inventoried		●	●	
5220-xxx-462	CTE - Special Populations – Computer Equipment – Inventoried		●	●	
5220-xxx-471	CTE - Special Populations – Sales and Use Tax Expense		●	●	
5220-xxx-541	CTE - Special Populations – Equipment Purchase – Capitalized		●	●	
5220-xxx-542	CTE - Special Populations – Computer Hardware Purchase – Capitalized		●	●	
5310 Alternative Instructional Services 6-12		PRC 013	PRC 014	PRC 017	PRC 064
5310-xxx-121	Alternative 6-12 – Salary – Teacher	●	●	●	
5310-xxx-122	Alternative 6-12 – Salary – Interim Teacher – Non Cert	●	●	●	
5310-xxx-124	Alternative 6-12 – Salary – VIF	●	●	●	
5310-xxx-142	Alternative 6-12 – Salary – TA (Grade 6 or above)		●	●	
5310-xxx-143	Alternative 6-12 – Salary – Tutor		●	●	
5310-xxx-146	Alternative 6-12 – Specialist (School-Based)		●	●	
5310-xxx-162	Alternative 6-12 – Substitute Pay - Reg.	●	●	●	
5310-xxx-163	Alternative 6-12 – Substitute Pay – Staff Dev.		●	●	
5310-xxx-164	Alternative 6-12 – Salary – Full Time Sub – Non-Cert	●	●	●	

5310 Alternative Instructional Services 6-12	PRC 013	PRC 014	PRC 017	PRC 064
5310-xxx-166 Alternative 6-12 – Tchr. Asst. Pay – Staff Dev.		●	●	
5310-xxx-167 Alternative 6-12 – Tchr. Asst. Pay – Reg.	●	●	●	
5310-xxx-177 Alternative 6-12 – Tchr. Asst. Pay – Staff Dev.		●		
5310-xxx-183 Alternative 6-12 – Bonus Pay		●	●	
5310-xxx-184 Alternative 6-12 – Longevity Pay	●	●	●	
5310-xxx-185 Alternative 6-12 – Bonus Leave Payoff	●	●		
5310-xxx-188 Alternative 6-12 – Annual Leave Payoff	●	●		
5310-xxx-189 Alternative 6-12 – Short Term Disability – First Six Months	●	●	●	
5310-xxx-191 Alternative 6-12 – Curriculum Development Pay		●	●	
5310-xxx-196 Alternative 6-12 – Staff Dev. Participant Pay		●	●	
5310-xxx-197 Alternative 6-12 – Staff Development Instructor		●	●	
5310-xxx-199 Alternative K-12 - Overtime Pay (Not below 6 <sup>th</sup> grade)		●	●	
5310-xxx-211 Alternative 6-12 – Employer’s Soc. Sec. – Reg.	●	●	●	
5310-xxx-221 Alternative 6-12 – Employer’s Retirement – Reg.	●	●	●	
5310-xxx-231 Alternative 6-12 – Employer’s Hospitalization Insurance	●	●	●	
5310-xxx-232 Alternative 6-12 – Employer’s Workers’ Comp	●	●	●	
5310-xxx-233 Alternative 6-12 – Employer’s Unemployment Insurance			●	
5310-xxx-311 Alternative 6-12 – Contracted Services		●	●	
5310-xxx-312 Alternative 6-12 – Workshop Exp./Allowable Travel		●	●	
5310-xxx-313 Alternative 6-12 – Advertising Cost		●	●	
5310-xxx-314 Alternative 6-12 – Printing and Binding Cost		●	●	
5310-xxx-315 Alternative 6-12 – Reproduction Cost		●	●	
5310-xxx-319 Alternative 6-12 – Other Professional/Tech. Svc.		●	●	
5310-xxx-326 Alternative 6-12 – Contracted Repairs – Equipment		●	●	

5310 Alternative Instructional Services 6-12	PRC 013	PRC 014	PRC 017	PRC 064
5310-xxx-327 Alternative 6-12 – Rentals/Leases		●	●	
5310-xxx-332 Alternative 6-12 – Travel Reimbursement		●	●	
5310-xxx-333 Alternative 6-12 – Field Trips		●	●	
5310-xxx-341 Alternative 6-12 - Telephone		●	●	
5310-xxx-342 Alternative 6-12 - Postage		●	●	
5310-xxx-343 Alternative 6-12 – Telecommunications Services		●	●	
5310-xxx-344 Alternative 6-12 – Mobile Communication		●	●	
5310-xxx-351 Alternative 6-12 – Tuition Fees		●	●	
5310-xxx-352 Alternative 6-12 – Employee Education Reimbursement		●	●	
5310-xxx-379 Alternative 6-12 – Other Insurance & Judgments		●	●	
5310-xxx-411 Alternative 6-12 – Supplies and Materials		●	●	
5310-xxx-413 Alternative 6-12 – Other Textbooks		●	●	
5310-xxx-414 Alternative 6-12 – Library Books		●	●	
5310-xxx-418 Alternative 6-12 – Computer Software and Supplies		●	●	
5310-xxx-422 Alternative 6-12 – Repair Parts, Materials & Labor		●	●	
5310-xxx-461 Alternative 6-12 – Furniture and Equipment – Inventoried		●	●	
5310-xxx-462 Alternative 6-12 – Computer Equipment – Inventoried		●	●	
5310-xxx-471 Alternative 6-12 – Sales and Use Tax Expense		●	●	
5310-xxx-541 Alternative 6-12 – Equipment Purchase – Capitalized		●	●	
5310-xxx-542 Alternative 6-12 – Computer Hardware Purchase – Capitalized		●	●	
5830 Guidance Services	PRC 013	PRC 014	PRC 017	PRC 064
5830-xxx-131 Guidance Services – Salary – Instruct. Support I – Reg.	●	●	●	●

5830 Guidance Services		PRC 013	PRC 014	PRC 017	PRC 064
5830-xxx-183	Guidance Services – Bonus Pay		●	●	
5830-xxx-184	Guidance Services – Longevity Pay	●	●	●	
5830-xxx-185	Guidance Services – Bonus Leave Payoff	●	●		
5830-xxx-188	Guidance Services – Annual Leave Payoff	●	●		
5830-xxx-189	Guidance Services – Short Term Disability – First Six Months	●	●	●	
5830-xxx-196	Guidance Services – Staff Development Participant Pay		●	●	
5830-xxx-197	Guidance Services – Staff Development Instructor		●	●	
5830-xxx-211	Guidance Services – Employer’s Soc. Sec. – Reg.	●	●	●	●
5830-xxx-221	Guidance Services – Employer’s Retirement – Reg.	●	●	●	●
5830-xxx-231	Guidance Services – Employer’s Hospitalization Insurance	●	●	●	●
5830-xxx-232	Guidance Services – Employer’s Workers Compensation Insurance	●	●	●	●
5830-xxx-233	Guidance Services – Employer’s Unemployment Insurance			●	●
5830-xxx-311	Guidance Services – Contracted Services		●	●	
5830-xxx-312	Guidance Services – Workshop Expense/Allowable Travel		●	●	
5830-xxx-313	Guidance Services – Advertising Cost		●	●	
5830-xxx-314	Guidance Services – Printing and Bindings Fees		●	●	
5830-xxx-315	Guidance Services – Reproduction Costs		●	●	
5830-xxx-326	Guidance Services – Contracted Repairs – Equipment		●	●	
5830-xxx-327	Guidance Services – Rentals/Leases		●	●	
5830-xxx-332	Guidance Services – Travel Reimbursement		●	●	

5830 Guidance Services		PRC 013	PRC 014	PRC 017	PRC 064
5830-xxx-341	Guidance Services – Telephone		●	●	
5830-xxx-342	Guidance Services – Postage		●	●	
5830-xxx-343	Guidance Services – Telecommunication Services		●	●	
5830-xxx-344	Guidance Services – Mobile Communications		●	●	
5830-xxx-352	Guidance Services – Employee Education Reimbursement		●	●	
5830-xxx-411	Guidance Services – Supplies and Materials		●	●	
5830-xxx-414	Guidance Services – Library Books		●	●	
5830-xxx-418	Guidance Services – Computer Software and Supplies		●	●	
5830-xxx-422	Guidance Services – Repair Parts, Materials & Labor		●	●	
5830-xxx-461	Guidance Services – Furniture and Equipment – Inventoried		●	●	
5830-xxx-462	Guidance Services – Computer Equipment – Inventoried		●	●	
5830-xxx-471	Guidance Services – Sales and Use Tax Expense		●	●	
5830-xxx-541	Guidance Services – Equipment Purchase – Capitalized		●	●	
5830-xxx-542	Guidance Services – Computer Hardware Purchase - Capitalized		●	●	
6120 CTE Curricular Support and Development Services		PRC 013	PRC 014	PRC 017	PRC 064
6120-xxx-113	Curricular Support & Dev. – Salary – Director and/or Supervisor			●	
6120-xxx-146	Curricular Support & Dev. – Salary – Specialist (School-Based)		●	●	
6120-xxx-151	Curricular Support & Dev. – Salary – Office Support		●	●	
6120-xxx-152	Curricular Support & Dev. – Salary – Technician		●		

6120 CTE Curricular Support and Development Services		PRC 013	PRC 014	PRC 017	PRC 064
6120-xxx-153	Curricular Support & Dev. – Salary – Admin. Specialist			●	
6120-xxx-183	Curricular Support & Dev. – Bonus Pay		●	●	
6120-xxx-184	Curricular Support & Dev. – Longevity Pay		●	●	
6120-xxx-185	Curricular Support & Dev. – Bonus Leave Payoff		●		
6120-xxx-188	Curricular Support & Dev. – Annual Leave Payoff		●		
6120-xxx-189	Curricular Support & Dev. – Short Term Disability – First Six Months		●	●	
6120-xxx-196	Curricular Support & Dev. – Staff Development Participant Pay		●	●	
6120-xxx-199	CTE Curricular Support & Develop. - Overtime Pay		●	●	
6120-xxx-211	Curricular Support & Dev. – Employer’s Soc. Sec. – Reg.		●	●	
6120-xxx-221	Curricular Support & Dev. – Employer’s Retirement – Reg.		●	●	
6120-xxx-231	Curricular Support & Dev. – Employer’s Hospitalization Insurance		●	●	
6120-xxx-232	Curricular Support & Dev. – Employer’s Workers Comp Insurance		●	●	
6120-xxx-233	Curricular Support & Dev. – Employer’s Unemployment Ins.			●	
6120-xxx-311	Curricular Support & Dev. – Contracted Services		●	●	
6120-xxx-312	Curricular Support & Dev. – Workshop Expense /Allowable Travel		●	●	
6120-xxx-313	Curricular Support & Dev. – Advertising Cost		●	●	
6120-xxx-314	Curricular Support & Dev. – Printing and Binding Fees		●	●	
6120-xxx-315	Curricular Support & Dev. – Reproduction Costs		●	●	
6120-xxx-326	Curricular Support & Dev. – Contracted Repairs Equipment		●	●	
6120-xxx-327	Curricular Support & Dev. – Rentals/Leases			●	
6120-xxx-332	Curricular Support & Dev. – Travel Reimbursement		●	●	

6120 CTE Curricular Support and Development Services	PRC 013	PRC 014	PRC 017	PRC 064
6120-xxx-341 Curricular Support & Dev. – Telephone		●	●	
6120-xxx-342 Curricular Support & Dev. – Postage		●	●	
6120-xxx-343 Curricular Support & Development – Telecommunications Services		●	●	
6120-xxx-344 Curricular Support & Dev. – Mobile Communication		●	●	
6120-xxx-352 Curricular Support & Dev. – Employee Education Reimbursement		●	●	
6120-xxx-411 Curricular Support & Dev. – Supplies and Materials		●	●	
6120-xxx-418 Curricular Support & Dev. – Computer Software and Supplies		●	●	
6120-xxx-422 Curricular Support & Dev. – Repair Parts, Materials & Labor		●	●	
6120-xxx-459 Curricular Support & Dev. – Other Food Purchase		●		
6120-xxx-461 Curricular Support & Dev. – Furniture and Equipment – Inventoried		●	●	
6120-xxx-462 Curricular Support & Dev. – Computer Equipment – Inventoried		●	●	
6120-xxx-471 Curricular Support & Dev. – Sales and Use Tax Expense		●	●	
6120-xxx-541 Curricular Support & Dev. – Equipment Purchase – Capitalized		●	●	
6120-xxx-542 Curricular Support & Dev. – Computer Hardware Purchase – Capitalized		●	●	
6550 Transportation Services	PRC 013	PRC 014	PRC 017	PRC 064
6550-xxx-165 Transportation – Substitute Pay – Non-Teaching		●	●	
6550-xxx-171 Transportation – Salary – Driver		●	●	
6550-xxx-172 Transportation - Driver Overtime		●	●	
6550-xxx-175 Transportation – Salary – Skilled Trades		●	●	
6550-xxx-184 Transportation – Longevity Pay		●	●	
6550-xxx-199 Transportation – Overtime Pay		●	●	
6550-xxx-211 Transportation – Employer’s Soc. Sec. – Reg.		●	●	
6550-xxx-221 Transportation – Employer’s Retirement – Reg.		●	●	



6550 Transportation Services		PRC 013	PRC 014	PRC 017	PRC 064
6550-xxx-231	Transportation – Employer’s – Hospitalization Insurance		●	●	
6550-xxx-232	Transportation – Employer’s Workers Compensation Insurance		●	●	
6550-xxx-233	Transportation – Employer’s Unemployment Insurance			●	
6550-xxx-331	Transportation – Pupil Transportation-Contracted		●	●	
6550-xxx-422	Transportation – Repair Parts, Materials & Labor		●	●	
6550-xxx-423	Transportation – Gas/Diesel Fuel		●	●	
6550-xxx-424	Transportation – Oil		●	●	
6550-xxx-425	Transportation – Tires and Tubes		●	●	
6930 Audit Services		PRC 013	PRC 014	PRC 017	PRC 064
6930-xxx-311	Audit Services – Contracted Services			●	
8100 Payments to Other Governmental Units		PRC 013	PRC 014	PRC 017	PRC 064
8100-xxx-392	Payments to Other Gov Units Indirect Costs			●	
8100-xxx-472	Payments to Other Gov Units Sales and Use Tax Refund			●	

Note: The LBAAS system requires a quantity and per unit designation along with a detailed justification in line items 461, 462, 541, and 542 (PRC 017).

For Program Report Code (PRC), Purpose Code and Object Summary Code definitions please visit:

<http://www.ncpublicschools.org/fbs/finance/reporting/coa2019>

# Equipment Regulations

## Definition

An equipment item is a material unit which meets the following conditions:

1. It is non-expendable; that is, if the article is damaged or some of its parts are lost or worn out, it is usually more feasible to repair it than replace it with an entirely new unit (which is not true of supplies).
2. Has a useful life of more than one year.
3. It represents an acquisition cost of \$5,000 or more per unit, unless LEA requirements stipulate a different amount.

## General Equipment Information

1. State and federal funds allocated for Career and Technical Education programs and activities may be used to purchase instructional equipment used by students enrolled in:
  - a. Programs/courses identified in the *North Carolina CTE Essential Standard* or
  - b. Programs/courses for which local course options have been approved.
2. The LEA is responsible for providing regular classroom furnishings, equipment, and other enhancements to facilities (Examples: carpet, teacher and student desks, drapes, renovation of facilities, air conditioners, etc.).
3. Instructional equipment is to be used in the teaching of students (Examples: power saws, sewing machines, etc.).
4. Equipment must be applicable to the specific program area(s) funded and be used in the CTE classroom, laboratory, shop or in the field. The *CTE Equipment Guide* <http://www.ncpublicschools.org/cte/curriculum/equipment/> identifies equipment standards for each program area and course within CTE and should be used in determining equipment needs for funded programs.
5. Each LEA or school should have a process of inventorying all CTE equipment annually to ensure that equipment remains in place when teachers' change, or classrooms/labs are moved. Unless an LEA has a more restrictive fixed asset policy, all purchased items meeting the equipment definition must be maintained on inventory records as a fixed asset. **Appendix F** (Examples of Forms) includes sample inventory forms that might be used to properly account for the equipment from purchase until appropriately sold or discarded.
6. Equipment may be rented or leased when and where the purchase of equipment is impractical or prohibitively expensive.

### **Purchasing Requirements**

The 2003 General Assembly passed Senate Bill 620, which changes significantly the purchasing procedures to be used by the public schools. School systems are required to use the E-Procurement System for specified percentages of their purchases. SB620 will provide direction for purchasing procedures.

Note: Purchasing and Contracting has indicated that existing statewide term contracts may still be used by school systems if they desire to do so. Also, SB 620 enables P and C staff to make available, in the expenditure of public funds, their services in the purchase of equipment, materials and supplies.

### **Disposition**

Equipment purchased with Career and Technical Education funds that are no longer needed for Career and Technical Education purposes must be disposed of in an accountable fashion. *Eligible disposition* means moving the equipment to another CTE program, declaring the equipment surplus and selling it through the state agency for surplus property or through local government disposition procedures, or establishing a fair market value and selling it to another LEA or public educational institution. When not transferred to other state agencies at a mutually agreeable fair market price, state surplus property is generally offered for public sale, usually by sealed competitive bids, with public advertisement of the sale at least seven days in advance of the opening of bids. Because CTE funds are categorical in nature and are made available only for CTE purposes, items purchased with these funds remain the property of the CTE program until such time as they are destroyed, or they have no value to any CTE program within the LEA and are disposed of through standard disposition procedures.

**Any funds generated from the sale of such CTE equipment must be placed in the CTE budget from which it was purchased.**

Refer to EDGAR for further information:

<http://www2.ed.gov/policy/fund/reg/edgarReg/edgar.html>

Use the **FPD 212 Equipment Disposition Form** on the next page for equipment purchased from PRC 017.

## CTE EQUIPMENT DISPOSITION FORM

(1) Description of Equipment Item	(2) Quantity Disposed	(3) Month/Year Purchased	(4) Unit Cost	(5) Physical Condition	(6) Disposition Action

Approvals:

Date:

CTE Program Director: \_\_\_\_\_

1. Complete columns 1-4 for the items which will be purged from inventory.
2. Complete column 5 for each item. Use **“Excellent, Good, Fair, Poor or Useless”** to describe the current condition. If the item cannot be located, and the condition is not known, use **Unknown**, “however, a physical inventory of equipment must be taken, and the results reconciled with the property records at least once every two years to verify the existence, current utilization, and continued need for the equipment.
3. Complete column 6 for each item with recommended action.
  - a. Cannibalize – Equipment that has become obsolete or unserviceable due to excessive repair costs, but still has serviceable component parts that can be used to repair, modify or construct other items of equipment.
  - b. Beyond **Repair – Discard** – Equipment that has been used beyond repair and the parts are not usable, and the item will be discarded.
  - c. Lost – Indicate the last date of inventory, and when the item of equipment was unable to be located.
  - d. Stolen – Attach a copy of the Official Police or Sheriff Investigation Report.
  - e. Destroyed **by Fire** – Indicate insurance claim value as well as the date the claim was filed and attach a copy, if possible.
  - f. No **Longer Required** – The equipment is no longer needed for the operation of the program and is available for transfer or sale. Indicate “Transfer on Loan to (other Federally Funded Education Program)” or “Sell at Auction” or “Sell Through Purchasing at a Fair Market Value”.
4. The LEA CTE Administrator signs and keeps on file at the local level for audit purposes.

## The Strengthening Career and Technical Education for the 21<sup>st</sup> Century Act (Perkins V)

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*North Carolina Core Indicators of Performance*

**1S1 Four-Year Graduation Rate**

The percentage of CTE concentrators who graduate high school, as measured by the four-year adjusted cohort graduation rate.

**2S1 Academic Proficiency in Reading/Language Arts**

CTE concentrator proficiency in the challenging State academic standards adopted by the State under section 1111(b)(1) of the Elementary and Secondary Education Act of 1965, as measured by the academic assessments in reading/language arts.

**2S2 Academic Proficiency in Mathematics**

CTE concentrator proficiency in the challenging State academic standards adopted by the State under section 1111(b)(1) of the Elementary and Secondary Education Act of 1965, as measured by the academic assessments in mathematics.

**2S3 Academic Proficiency in Science**

CTE concentrator proficiency in the challenging State academic standards adopted by the State under section 1111(b)(1) of the Elementary and Secondary Education Act of 1965, as measured by the academic assessments in science.

**3S1 Postsecondary Placement**

The percentage of CTE concentrators who, in the second quarter after exiting from secondary education, are in postsecondary education or advanced training, military service or a service program.

**4S1 Non-Traditional Program Enrollment**

The percentage of CTE concentrators in career and technical education programs and programs of study that lead to non-traditional fields.

**5S1 Program Quality – Attained Recognized Postsecondary Credential**

The percentage of CTE concentrators graduating from high school having attained a recognized postsecondary credential.

**5S4 Program Quality** – The percentage of CTE participants proficient on State CTE assessments.

## Examples of Forms

### Time and Effort: Examples 1 - 7

#### Example 1

*Anticipated Effort same as Actual Effort*

*Worked full 5-day 40-hour weeks*

#### Example 2

*Anticipated Effort different than Actual Effort*

*Worked full 5-day 40-hour weeks*

#### Example 3

*Anticipated Effort same as Actual Effort*

*Took 2 vacation days in week #1*

#### Example 4

*Anticipated Effort different from Actual Effort*

*State Holiday*

#### Example 5

*Anticipated Effort same as Actual Effort*

*Took 1-week vacation in week #2*

#### Example 6

*Semi-Annual Certification*

#### Example 7

*Semi-Annual Certification*

### Plan of Work: Examples 8 and 9

#### Example 8

*Plan of Work – Example A*

#### Example 9

*Plan of Work – Example B*

### Inventory Forms: Examples 10 - 12

#### Example 10

*Example of Inventory Form – Example A*

#### Example 11

*Example of Inventory Form – Example B*

#### Example 12

*Example of Inventory Form – Example C*



**Example 2**  
**Anticipated Effort different than Actual Effort**  
*Worked full 5-day 40 hour weeks*

PERSONNEL ACTIVITY REPORT

Month: May 2019	Position Number: 12345	Employee: John Smith
Effort: 50% CTE Months of Employment and 50% Title I 050		

<i>Week 1: May 5 – 9</i>		<i>Supervisor's Initials: BB</i>	
Column A <b>Cost Objective</b> Cost objectives upon which time was spent this week	Column B <b>Time: Cost Objective</b> Total hours this week spent on each cost objective	Column C <b>Time: Total</b> Total hours worked this week	Column D <b>Percent Allocation</b> Percentage of time this week spent on each cost objective (Col. B/Col. C) x 100%
Months of Employment	20 hours	40 hours	50%
Title I 050	20 hours		50%

<i>Week 2: May 12 – 16</i>		<i>Supervisor's Initials: BB</i>	
Column A <b>Cost Objective</b> Cost objectives upon which time was spent this week	Column B <b>Time: Cost Objective</b> Total hours this week spent on each cost objective	Column C <b>Time: Total</b> Total hours worked this week	Column D <b>Percent Allocation</b> Percentage of time this week spent on each cost objective (Col. B/Col. C) x 100%
Months of Employment	10 hours	40 hours	25%
Title I 050	30 hours		75%

<i>Week 3: May 19 – 23</i>		<i>Supervisor's Initials: BB</i>	
Column A <b>Cost Objective</b> Cost objectives upon which time was spent this week	Column B <b>Time: Cost Objective</b> Total hours this week spent on each cost objective	Column C <b>Time: Total</b> Total hours worked this week	Column D <b>Percent Allocation</b> Percentage of time this week spent on each cost objective (Col. B/Col. C) x 100%
Months of Employment	30 hours	40 hours	75%
Title I 050	10 hours		25%

Monthly Percent Allocation: CTE Months of Employment = 50%  
Title I 050 = 50%

Thereby certify that the information contained in this Time and Effort Report accurately reflects actual time and effort distribution for the month reported.

<i>John Smith</i>	6/1/19
Employee Signature	Date
<i>Beverly Boss</i>	6/1/19
Supervisor Signature	Date



**Example 3**  
**Anticipated Effort same as Actual Effort**  
*Took 2 vacation days in week #1*

PERSONNEL ACTIVITY REPORT

Month: May 2019	Position Number: 12345	Employee: John Smith
Effort: 25% Program Support PRC 014 and 75% Title I 050		

<b>Week 1: May 5 – 9</b>		<b>Supervisor's Initials: BB</b>	
Column A <b>Cost Objective</b> Cost objectives upon which time was spent this week	Column B <b>Time: Cost Objective</b> Total hours this week spent on each cost objective	Column C <b>Time: Total</b> Total hours worked this week	Column D <b>Percent Allocation</b> Percentage of time this week spent on each cost objective (Col. B/Col. C) x 100%
Program Support	6 hours	24 hours	25%
Title I 050	18 hours		75%

<b>Week 2: May 12 – 16</b>		<b>Supervisor's Initials: BB</b>	
Column A <b>Cost Objective</b> Cost objectives upon which time was spent this week	Column B <b>Time: Cost Objective</b> Total hours this week spent on each cost objective	Column C <b>Time: Total</b> Total hours worked this week	Column D <b>Percent Allocation</b> Percentage of time this week spent on each cost objective (Col. B/Col. C) x 100%
Program Support	10 hours	40 hours	25%
Title I 050	30 hours		75%

<b>Week 3: May 19 – 23</b>		<b>Supervisor's Initials: BB</b>	
Column A <b>Cost Objective</b> Cost objectives upon which time was spent this week	Column B <b>Time: Cost Objective</b> Total hours this week spent on each cost objective	Column C <b>Time: Total</b> Total hours worked this week	Column D <b>Percent Allocation</b> Percentage of time this week spent on each cost objective (Col. B/Col. C) x 100%
Program Support	10 hours	40 hours	25%
Title I 050	30 hours		75%

Monthly Percent Allocation:     Program Support =                                    25%  
    Title I 050 =                                    75%

Thereby certify that the information contained in this Time and Effort Report accurately reflects actual time and effort distribution for the month reported.

<i>John Smith</i>	6/1/19
Employee Signature	Date
<i>Beverly Boss</i>	6/1/19
Supervisor Signature	Date

**Example 4**  
**Anticipated Effort different from Actual Effort**  
*State Holiday*  
*(An adjustment to payroll records is required.)*

PERSONNEL ACTIVITY REPORT

Month: May 2019	Position Number: 12345	Employee: John Smith
Effort: 75% CTE Months of Employment and 25% Title I 050		

<i>Week 1: May 5 – 9</i>		<i>Supervisor's Initials: BB</i>	
Column A <b>Cost Objective</b> Cost objectives upon which time was spent this week	Column B <b>Time: Cost Objective</b> Total hours this week spent on each cost objective	Column C <b>Time: Total</b> Total hours worked this week	Column D <b>Percent Allocation</b> Percentage of time this week spent on each cost objective (Col. B/Col. C) x 100%
Months of Employment	20 hours	40 hours	50%
Title I 050	20 hours		50%

<i>Week 2: May 12 – 16</i>		<i>Supervisor's Initials: BB</i>	
Column A <b>Cost Objective</b> Cost objectives upon which time was spent this week	Column B <b>Time: Cost Objective</b> Total hours this week spent on each cost objective	Column C <b>Time: Total</b> Total hours worked this week	Column D <b>Percent Allocation</b> Percentage of time this week spent on each cost objective (Col. B/Col. C) x 100%
Months of Employment	0 hours	40 hours	0%
Title I 050	40 hours		100%

<i>Week 3: May 19 – 23</i>		<i>Supervisor's Initials: BB</i>	
Column A <b>Cost Objective</b> Cost objectives upon which time was spent this week	Column B <b>Time: Cost Objective</b> Total hours this week spent on each cost objective	Column C <b>Time: Total</b> Total hours worked this week	Column D <b>Percent Allocation</b> Percentage of time this week spent on each cost objective (Col. B/Col. C) x 100%
Months of Employment	16 hours	16 hours	100%
Title I 050	0 hours		0%

Monthly Percent Allocation: CTE Months of Employment = 37.5%  
Title I 050 = 62.5%

Thereby certify that the information contained in this Time and Effort Report accurately reflects actual time and effort distribution for the month reported.

<i>John Smith</i>	6/1/19
Employee Signature	Date
<i>Beverly Boss</i>	6/1/19
Supervisor Signature	Date



**Example 6**  
***Semi-Annual Certification***

I, John Smith, hereby certify that for the period July 1, 2018, through December 31, 2018, one hundred percent (100%) of my time and effort was spent on Career and Technical Education.

<u>John Smith</u>	1/5/19
Employee Signature	Date

<u>Beverly Boss</u>	1/5/19
Supervisor Signature	Date

**\*The signatures must occur after the certifying period. For example, if the period ends December 31, 20xx, the signatures and dates must be on or after January 1, 20xx.**

**Example 7**  
***Semi-Annual Certification***

I certify that for the period January 1, 2019, through June 30, 2019, one hundred percent (100%) of my time and effort was spent on Career and Technical Education.

*John Smith*

7-5-19

Employee Signature

Date

---

*Beverly Boss*

7-5-19

Supervisor Signature

Date

---

**\*The signatures must occur after the certifying period. For example, if the period ends June 30, 20xx, the signatures and dates must be on or after July 1, 20xx.**

Example 8  
*Plan of Work – Example A*

Plan of Work for: \_\_\_\_\_

Program Area: \_\_\_\_\_

Schools: \_\_\_\_\_

Beginning Date: \_\_\_\_\_

Ending Date: \_\_\_\_\_

<i>Date</i>	<i>Activity</i>	<i>Location</i>

**Example 9**  
*Plan of Work – Example B*

Plan of Work for: \_\_\_\_\_

Plan of Work for: \_\_\_\_\_

Program Area: \_\_\_\_\_

Schools: \_\_\_\_\_

Beginning Date: \_\_\_\_\_

Ending Date: \_\_\_\_\_

<i>Sunday</i>	<i>Monday</i>	<i>Tuesday</i>	<i>Wednesday</i>	<i>Thursday</i>	<i>Friday</i>	<i>Saturday</i>

**Example 10**

*Example of Inventory Form – Example A*

Teacher: \_\_\_\_\_ Department: \_\_\_\_\_  
Classroom Number: \_\_\_\_\_ Date Inventory Taken: \_\_\_\_\_

Directions: List items which cost \$\_\_\_\_\_ or more; list each item separately. Attach copy of inventory submitted to school-based administration.

<i>Item Name/Brand/Model</i>	<i>LEA Asset #</i>	<i>Approximate Date Purchased</i>	<i>Purchased by: CTE or School</i>	<i>Condition</i>



**Example 11**

*Example of Inventory Form – Example B*

*Computer Hardware and Peripheral Inventory*

Teacher: \_\_\_\_\_ Department: \_\_\_\_\_  
Classroom Number: \_\_\_\_\_ Date Inventory Taken: \_\_\_\_\_

Directions: List each computer CPU, monitor, and printer separately.

<i>Item/ Brand</i>	<i>LEA Asset #</i>	<i>Approx. Date Purchased</i>	<i>Purchased by: CTE or School</i>	<i>Condition</i>	<i>Windows Version</i>	<i>Primary Use (Student or Teacher)</i>	<i>Networked /Internet Accessible (Y or N)</i>

**Example 12**

*Example of Inventory Form – Example C*

*Instructional Supply/Textbook Inventory*

Teacher: \_\_\_\_\_ Department: \_\_\_\_\_  
Classroom Number: \_\_\_\_\_ Date Inventory Taken: \_\_\_\_\_

Directions: List each item such as state adopted textbooks, videos, software packages, items not replaced or consumed annually and but cost less than \$\_\_\_\_\_.

Attach copy of inventory submitted to school-based administration.

<i>Item Name/Description/Title</i>	<i>Number/Sets/Units</i>	<i>Approximate Date Purchased</i>	<i>Purchased by: CTE or School</i>

## JOB DESCRIPTION - CAREER AND TECHNICAL EDUCATION CAREER DEVELOPMENT COORDINATOR

**Reports to:** Principal or designee

**Purpose:** The Career Development Coordinator (CDC) works collaboratively with administrators, student services personnel, teachers, parents, business/industry, postsecondary institutions, military, community organizations, and other stakeholders to ensure the delivery of career development services for students. The CDC responsibilities incorporate the North Carolina Essential Standards, National Career Development Guidelines, and the National Model for School Counseling Programs and Future-Ready Students for the 21st Century.

### QUALIFICATIONS:

Qualifying for a (747) license requires:

1. A bachelor's degree **and current educator license** in a Career and Technical Education program area or a master's degree in school counseling **and a current license in school counseling**
2. One or more of the following:
  - One year of industry-related career development work experience in business, industry, or labor within the past five years
  - One year as a coordinator of work-based learning (job-shadowing, internships, cooperative education, apprenticeships) in a Career and Technical Education program area within the past five years
  - One year as a Career and Technical Student Organization (CTSO) adviser within the past five years or
  - One year work experience in school counseling.
3. Completion of the **NC DPI sponsored Facilitating Career Development or 6 semester hours as defined in CTED-004**
4. Completion of 10-hour Induction for new CDCs sponsored by NCDPI.

Physical and Cognitive Responsibilities:

Work in this classification is considered light physical work requiring the exertion of up to 20 pounds of force occasionally and a negligible amount of force frequently or constantly to move objects.

Specific Career Development Coordinator responsibilities are outline below.

### **Standard I: Career development coordinators demonstrate leadership, advocacy, and collaboration.**

- 1.01 Career development coordinators demonstrate leadership in the school, district and community.
- 1.02 Career development coordinators enhance their profession. They strive to improve the profession by staying current in research and best practices.
- 1.03 Career development coordinators advocate for their programs and students.
- 1.04 Career development coordinators demonstrate high ethical standards. They demonstrate ethical behaviors.

**Standard II: Career development coordinators promote a respectful environment for a diverse population of students.**

- 2.01 Career development coordinators foster a school environment in which students have positive, nurturing relationships with caring adults.
- 2.02 Career development coordinators embrace diversity in the school community and in the world.
- 2.03 Career development coordinators treat students as individuals.
- 2.04 Career development coordinators adapt their services to accommodate diverse student populations.
- 2.05 Career development coordinators work collaboratively with the families and significant adults in the lives of students.

**Standard III: Career development coordinators understand and facilitate the implementation of a comprehensive career development program.**

- 3.01 Career development coordinators align their programs to support student success in the North Carolina Standard Course of Study.
- 3.02 Career development coordinators understand how their professional knowledge and skills support and enhance student success.
- 3.03 Career development coordinators recognize the interconnectedness of the comprehensive education program and academic content areas/disciplines.
- 3.04 Career development coordinators develop comprehensive career development programs relevant to students.

**Standard IV: Career development coordinators promote learning for all students.**

- 4.01 Career development coordinators know how students learn. They understand the teaching and learning process and how it impacts students.
- 4.02 Career development coordinators help students develop employability skills. They assist all students with developing academic, career, and personal/social skills.
- 4.03 Career development coordinators use and promote effective listening and communication skills.

**Standard V: Career development coordinators actively reflect on their practice.**

- 5.01 Career development coordinators analyze the impact of the career development program.
- 5.02 Career development coordinators link professional growth to the needs of their school and their program goals.
- 5.03 Career development coordinators function effectively in a complex dynamic environment.

## JOB DESCRIPTION - CAREER AND TECHNICAL EDUCATION SPECIAL POPULATIONS COORDINATOR

### QUALIFICATIONS/ LICENSURE: 770 A, B, C - Career and Technical Education Special Populations Coordinator

1. A bachelor's degree and current educator license in a Career and Technical Education program area or a master's degree in school counseling and a current license in school counseling or a bachelor's degree and current educator license in Exceptional Children's Education
2. A minimum of one-year related work experience within the past 5 years as a Career and Technical Education program area teacher, administrator of special education, special education teacher, school psychologist, curriculum director, career development coordinator, school counselor, reading specialist, school administrator, speech and language pathologist, English Language instructor (teaching English as second language), social worker
3. NC DPI sponsored 10-hour New Career Development Coordinators/Special Populations Coordinators Induction Program and completion of course work (12 hours):
4. Completion of 12 semester hours as defined in CTED-004 or completion of the NC DPI sponsored Special Populations course and the NC DPI sponsored Facilitating Career Development course

### Special Populations Coordinator Job Description: Major Functions

The major functions of providing special populations coordination services have been incorporated into a job description that is aligned with an appraisal system. LEAs may use these instruments or modify or create their own to evaluate and plan special populations services. Please note that anytime that "special populations" is used, it means that the identified students have been or are currently enrolled in CTE courses.

#### 1. **Accountability and Planning:** Special Populations Coordinator should -

- Assist school and CTE administrators in identifying programs that need improvement to assist special populations students in meeting the performance indicators.
- Assist school and CTE administrators in providing strategies to improve supplementary services for members of special populations in meeting the performance indicators.
- Maintain relevant record keeping and inventory systems related to job responsibilities.
- Coordinate with school and CTE administrators, and other service providers to develop an annual plan of work based on the evaluation and needs assessment results to ensure that students within special populations are receiving adequate supplementary services and career planning.

#### 2. **Assessment and Prescription:** Special Populations Coordinator should -

- Identify students within special populations each semester and provide information to CTE teachers and suggest possible teaching strategies.
- Coordinate with CDC and assess the characteristics of students using interest inventories, learning style assessments, or similar instruments.
- With input from the CTE teachers, individually develop, implement and monitor the CDP+.

- Participate on the IEP Team for students enrolled in CTE courses, as needed, in the development and implementation of the CTE and transition components of the IEP.
- Coordinate special services for special populations students.
- Maintain a CTE resource laboratory for members of special populations and CTE teachers.
- Assist with transition services for special populations students.

**3.Coordination with Other Service Providers:** Special Populations Coordinator should -

- Collaborate with CTE teachers and other relevant service providers in providing services to special populations students.
- Coordinate with special education, vocational rehabilitation, community agencies, businesses/industry, and significant others to provide appropriate supplementary services to members of special populations.
- Facilitate in-service training for school personnel working with students within special populations to improve their abilities and techniques in meeting the special needs of these students.
- Monitor the CTE component of the IEP and CDP+ to ensure that appropriate supplementary services are provided, and performance indicators are met.
- Coordinate work experiences and educational trips for special populations students where appropriate.

**4.Monitoring Access, Progress, and Success:** Special Populations Coordinator should –

- Monitor LEA, School, and course demographic and attainment data to assist in determining maintenance and improvement of access, progress, and success of students within special populations in CTE programs.
- Assist in assessing the attainment of performance indicators for students within special populations.

**5.Outreach and Recruitment:** Special Populations Coordinator should –

- Coordinate with CDC, guidance, CTE teachers, and CTSOs to promote recruitment, enrollment, and placement activities for special populations students in CTE.
- Provide information about CTE opportunities to special populations students and their parents.

**6.Professional Development:** Special Populations Coordinator should:

- Attend workshops and meetings designed to enhance job performance.
- Network with other Special Populations Coordinators in LEA, region and state.

## JOB DESCRIPTION - CAREER AND TECHNICAL EDUCATION CURRICULUM INSTRUCTIONAL MANAGEMENT COORDINATOR

### QUALIFICATIONS / LICENSURE 830

- Bachelor's degree and current license in any CTE program area or a bachelor's degree and current license in Curriculum Development or Instructional Technology
  - five years of work experience within the past eight years in one of the following three areas:
    - classroom teacher in a CTE program area
    - professional support in an educational setting:
      - Career Development Coordinator
      - Special Populations Coordinator
      - Curriculum Specialist
      - Instructional Technology Specialist
- Successful completion of **NC DPI sponsored CIMC 40-Hour Induction Program**
- **Successful completion of Foundations of Coaching course or approved equivalent**
- **MOS Excel**

### DUTIES & RESPONSIBILITIES

- The LEA CTE Instructional Management Coordinator:
- provides support to CTE teachers and personnel within the local school system
- assists with implementing CTE curriculum
- provides technical support and training for CTE teachers and other CTE staff on utilizing the CTE computerized instructional management system
- provides assistance and support to CTE teachers and other CTE staff to improve the instructional process, document student learning, and improve student outcomes to ensure the success of students in a high wage, high-skill, or high-demand global economy
- prepares an annual Instructional Management Coordinator Calendar of Work

### MAJOR FUNCTIONS

- Curriculum Management and Instructional Support
- Professional Development
- Accountability
- Laws and Policies
- Technology
- Personal Work Habits

### EXAMPLES OF THE SIX MAJOR FUNCTIONS:

#### Curriculum Management & Instructional Support

- assist teachers in locating appropriate instructional management resources such as blueprints, curriculum guides, equipment lists, pacing guides, facility guides and vendor-generated curriculum resources
- be knowledgeable and able to explain the information within the most current ***Status of Curriculum, Credentials & Assessment*** document
- provide technical assistance in analyzing performance data in the Local **Application** System to improve instruction and student performance

- encourage and support the improvement of instruction through a current knowledge of curriculum development and instructional strategies
- provide technical assistance and support for teachers in understanding and implementing the CTE computerized instructional management system
- maintain current knowledge of the Standard Course of Study, 21<sup>st</sup> Century Skills, current state and local Graduation Requirements to foster high-skill, high-wage and high-demand career opportunities for students
- assist with school redesign initiatives to provide innovation programs, such as Pathway to Prosperity, Career Clusters, Pathways, Project Lead the Way (PLTW), High Schools That Work (HSTW), Early/Middle Colleges with Career Focus, Career-Themed High Schools and Career Academies
- understand and facilitate the process of current state and local articulation through collaboration with community colleges and other postsecondary programs.
- have a thorough understanding of the development of any honors course portfolio in order to facilitate the process
- have a thorough understanding and knowledge of Career Pathways
- be able to identify concentrators and corresponding Career Pathways
- facilitate or assist with the application and development process of a LCO (Local Course Option)
- maintain a current knowledge base of Career and Technical Education latest trends, developments and research

### **Professional Development**

- provide ongoing, high quality, sustained, classroom-focused professional development that has a positive and lasting impact on classroom instruction and teacher performance (not just one-day or short-term workshops)
- provide appropriate professional development to facilitate improvement of all performance indicators, especially GOFIs
- coordinate with CTE Director to plan professional development for improvement strategies addressed in the Local Planning System, especially the GOFIs
- plan professional development based on information gained from attendance at regional, state and national conferences/meetings, including integration of academic and CTE curricula
- provide training for teachers in the CTE computerized instructional management system
- provide test training for all test administrators and proctors prior to the LEA test schedule

### **Accountability**

- coordinate the administration of high school CTE State Assessments
- have a thorough understanding of the *CTE Statewide Assessment Manual* and *North Carolina Testing Code of Ethics*
- understand the importance of securing state assessments, both paper/pencil and electronic versions
- use the state **Assessment Scheduler** to secure access to state assessments by LEA, School, and Teacher
- be familiar with the most current documents of *“Testing Students with Disabilities”* and *“Testing Students with Limited English Proficiency”*
- understand the Occupation Course of Study program and its relationship to CTE curriculum and assessments



- have a thorough knowledge of the types of special population students enrolled in CTE courses, Individualized Education Plans (IEPs), Review of Accommodation Forms (RAUDT) and the legal implications and requirements to provide services to these students
- understand English Learners and its relationship to CTE curriculum, state assessments, and accountability (including the CTE Alternative Assessment process)
- understand the process for requesting CTE Medical Waivers and the effect on accountability
- generate, analyze and report state assessment data to key stakeholders to improve the instructional process and student learning
- understand how state CTE assessment data affects EVAAS
- understand and facilitate the process of reporting credentials
- upload/download data as required by the Department of Public Instruction thru DPI's secured Secure File Transfer Protocol (SFTP) site
- understand the importance of keeping student information confidential and secure
- understand and facilitate the process of the Concentrator Feedback Survey
- understand the process of **Workkeys** and its effect on accountability
- coordinate the administration of the **Credit for Demonstrated Mastery (CDM)** online State Assessments for CTE courses

### **Laws and Policies**

- knowledge of general legislation and policies, both state and federal

### **Technology**

- be able to access and proficiently use the following:
  - Access
  - Credential Sites (Certiport)
  - CTE PLCs
  - EVAAS
  - Excel
  - Google Docs
  - Home Base
    - PowerSchool
    - SchoolNet
  - Local **Application** System
  - NC DPI Web Site
  - NCID
  - Online Meeting Mgt. (ex. Go-To-Meeting)
  - Secure File Transfer Protocol (SFPT) Site
  - NCCTE Admin
    - CIMS
    - LCO
  - Third Party Curriculum
    - (Today's Class/NCCER)
  - Twitter
  - Word

### **Personal Work Habits**

- be able to work well with others and be a team player
- be able to show initiative and be self-directed

- demonstrate effective leadership skills which include thinking and problems-solving skills
- be able to use retrieval skills to locate information
- demonstrate effective communication skills
- demonstrate electronic media etiquette
- exhibit honesty and professional integrity

## JOB DESCRIPTION - CAREER AND TECHNICAL EDUCATION CAREER AND TECHNICAL EDUCATION ADMINISTRATOR

### QUALIFICATIONS/ LICENSURE: 711 - Career and Technical Education Administrator

- A minimum of five years experience **in any of the following:**
  - teaching or providing CTE Support Services
  - supervisory, or administrative experience within the preceding eight years with a minimum of two years in **supervising CTE** programs. **Examples of administrative experience which could include supervising CTE programs are program area director, assistant/associate superintendent, superintendent, assistant principal or principal.**
- Master's Degree is required
- Current license in a CTE program area **or school administration**
- **Successful completion of one 3 semester hour course: CTE Fundamentals and Program Improvement; and NC DPI sponsored New Director Induction: Level I only is required for candidates holding a master's and current license as a school administrator; Level I and II is required for candidates with a master's and current license as a CTE teacher.**

**SUPERVISES:** Directly supervises Central Office CTE Staff

**DUTIES AND RESPONSIBILITIES:** Responsible for the leadership of the Career and Technical Education program to include the strategic plan and vision, management of budget, technology, program equipment and CTE Central Support Staff (Instructional Management Coordinator, Career Development Coordinator, and Special Populations Coordinator). Provide administrative support to both middle and high school principals, teachers, superintendent, and local board of education through effective communication of pertinent information. Facilitate appropriate staffing and staff development focused on improved instruction and student achievement through performance data analysis. Collaborate with postsecondary institutions to promote seamless transitions and articulation for students. Participate in regional, state, and national conferences, workshops, and meetings to stay current on CTE issues such as legislation, policies, labor market, and economic development trends. Work cooperatively to build strong community/business and industry involvement to promote and enhance Career and Technical Education programs.

### MAJOR FUNCTIONS:

1. Accountability
2. Fiscal Management and Compliance
3. Curriculum and Instruction
4. Community Involvement and Partnerships
5. Human Resources

The following list provides examples of appropriate activities for each of the five major functions.

#### A. MAJOR FUNCTION: **Accountability** (20%)

Responsibilities include:

- Develop and implement the annual Career and Technical Education Local Plan based on requirements of state and federal laws.
- Measure and analyze performance data to improve instruction and student performance.

- Coordinate the annual collection of data including: CTE reporting, academic, and technical measures to meet state and federal achievement benchmarks.
- Prepare and submit all necessary reports as required by the LEA, State Board of Education and federal law governing Career and Technical Education (Perkins Legislation).

**B. MAJOR FUNCTION: Fiscal Management and Compliance (25%)**

Responsibilities include:

- Plan, develop, direct, monitor, and maintain the Career and Technical Education budgets in accordance with established policies, local planning strategies, Standard Course of Study, and changing needs.
- Reconcile financial records and prepare fiscal reports as required.
- Ensure compliance with federal, state and local laws, regulations, procedures and policies.
- Purchase instructional supplies, materials, and equipment for maintaining and improving CTE programs and use of technology.
- Ensure procedures are established to maintain inventory control of equipment, materials and supplies in compliance with LEA procedures.

**C. MAJOR FUNCTION: Curriculum and Instruction (30%)**

Responsibilities include:

- Provide for comprehensive professional development of teachers and staff inclusive of Career and Technical Education program curriculum/curriculum integration.
- Encourage and support the improvement of instruction.
- Support and promote active participation of teachers and students in Career and Technical Student Organizations (CTSOs).
- Promote cooperative education, internships, shadowing and apprenticeships as instructional methodologies.
- Coordinate the maintenance and updating of technology and network components in Career and Technical Education labs.
- Develop and implement articulation agreements between secondary and postsecondary institutions to allow a seamless transition for students.
- Maintain a current knowledge of curriculum development and instructional strategies resulting in effective education design and delivery.
- Implement the instructional management system (IMS) as a total curriculum development and training system.
- Support and promote industry credentialing of programs, teachers, and students.

**D. MAJOR FUNCTION: Community Involvement and Partnerships (15%)**

Responsibilities include:

- Promote school and community relations with agencies, individuals, and groups within the community regarding the standards and relevance of Career and Technical Education programs.
- Represent the Local Education Agency (LEA) at local, state, and national conferences and serve on tasks force and other committees as deemed appropriate.
- Develop partnerships with key external stakeholders such as Business and Industry, Institutes of High Learning, Chamber of Commerce, Workforce Development Board, Economic Development that advocate the value of CTE by fostering high skill, high wage, or high demand career opportunities for students.

- Communicate pertinent Career and Technical Education information to the Superintendent, Board of Education, CTE staff and advisory committees.
- Continuously appraise, evaluate and promote the Career and Technical Education program utilizing a CTE Advisory Committee.

**E. MAJOR FUNCTION: Human Resources (10%)**

Responsibilities include:

- Allocation of funding for Career and Technical Education teachers and coordinators.
- Serve as a liaison between the LEA Human Resources Office and the Licensure Division of the State Department of Public Instruction.
- Recruit, interview, hire, support, and allocate personnel in Career and Technical Education programs.
- Coordinate and supervise Career and Technical Education professional development activities, both school-based and district-wide.
- Maintain and support the funding and participation in regional, state and national conferences and workshops for Career and Technical Education in compliance with Fiscal Policy.

# State and Federal Legislation and Policy

## Legislation

### **Carl D. Perkins Career and Technical Education Act, As Amended by the Strengthening Career and Technical Education for the 21<sup>st</sup> Century Act**

#### **US Dept of Education – Office of Career, Technical and Adult Education (OCTAE)**

<http://www.ed.gov/about/offices/list/ovae/pi/cte/index.html>

Title I – Career and Technical Education *Assistance to the States*

Section 134 – Local *Application* for Career and Technical Education Programs

Section 135 – Local Uses of Funds

*Title II – General Provisions*

*Section 211 – Funds made available under this Act for CTE activities shall supplement, and shall not supplant.*

*Section 215 – No funds received can be used for programs prior to middle school.*

#### **Chapter 115C of the General Statutes of North Carolina**

- 115C-47 (34a) Establish Work-Based Opportunities and Encourage High School to Work Partnerships
- 115C-55 Advisory Councils
- 115C-64.15 Sixth and Seventh Grade CTE Program Expansion Grant
- 115C-64.17 The Career and Technical Education Grade Expansion Program

Article 10 – 115C-151 through 170

- 115C-152 Definitions
- 115C-154.1(6) – Approval of Career and Technical Education Plans
- 115C-157 (b)(c) – Responsibility of Local Board of Education
- 115C-157.5 – Extended Year Agriculture Education Program
- 115C-165 – Advisory Committee on Production Work Activities
- 115C-166 – Eye Protection Devices Required in Certain Courses
- 115C-172 – Business Advisory Councils

**115C-81 – Basic Education Program** – Instruction in Vocational and Technical Education is based on 5 factors listed below:

- Integration of academic and VTE
- Sequential courses
- Increased work skill attainment and job placement
- Increased linkages between public schools and community colleges
- Instruction and experience in all aspects of the Industry the students are preparing to enter.

**115C-102.6 – Technology Plan** – Vocational Education Equipment is to be included to meet instructional needs.

**115C-288** Powers and duties of Principal include improving instruction, inspecting for fire hazards at least twice a month, assign duties to teachers, and protect school property.

**115C – 302.1(b)(b2) Career and Technical Education Teachers** - Except for career and technical education agriculture teacher personnel positions as provided for in this subsection, State -allotted months of employment for career and technical education to local boards shall be used for the employment of teachers of career and technical education for a term of employment to be determined by the local boards of education. Beginning with the 2018-2019 school year, career and technical education agriculture personnel positions serving students in grades 9-12 shall be for a term of employment for 12 calendar months. A local board of education may apply on an annual basis to the Department of Public Instruction and the North Carolina State University, Agricultural and Extension Education, for a waiver of the months of employment (**Appendix P**) requirement for any upcoming school year when it is impracticable for the local board to provide adequate funds to support 12 months of employment for career and technical agriculture teachers.

**115C – 325 System of employment for public school teachers**

- (3) (1) l. states that decreased enrollment or decrease in funding is reason for dismissal.
- (2) Shows the process for giving written notice to the career status teacher of dismissal or demotion.

## Legal References

### Office of Management and Budget (OMB) Uniform Grant Guidance

The following items are excerpts pertaining to Career and Technical Education. These documents and links provide the Federal Grant Guidance and Regulations for grant recipients.

Council of Financial Assistance Reform

<https://cfo.gov/cofar/>

Electronic Code of Federal Regulations

<http://www.ecfr.gov/cgi-bin/ECFR?page=browse>

Education Department General Administrative Regulations (EDGAR)

<http://www2.ed.gov/policy/fund/reg/edgarReg/edgar.html>

US Department of Education Uniform Guidance

<http://www2.ed.gov/policy/fund/guid/uniform-guidance/index.html>

## Chapter 450 House Bill 6

**\*\*GENERAL ASSEMBLY OF NORTH CAROLINA  
1995 SESSION  
RATIFIED BILL**

**CHAPTER 450  
HOUSE BILL 6**

AN ACT TO GRANT ADDITIONAL MANAGEMENT FLEXIBILITY TO LOCAL BOARDS OF EDUCATION, TO ENSURE THAT LOCAL BOARDS OF EDUCATION ARE HELD ACCOUNTABLE FOR THE USE OF THAT FLEXIBILITY, TO ASSESS THE RELATIONSHIP BETWEEN EXPENDITURES FOR PUBLIC SCHOOLS AND STUDENT PERFORMANCE, AND TO MAKE CONFORMING STATUTORY CHANGES.

### —LOCAL MANAGEMENT FLEXIBILITY

Section 1. (a) Effective July 1, 1995, funding allotments in the Public School Fund are consolidated as follows to increase flexibility in the use of State funds:



## Existing Funding Allotments

- (1) Superintendents;  
Administrators;  
Finance Officers;  
Maintenance Supervisors;  
Child Nutrition Supervisors;  
Community Schools;  
Sports Medicine;  
Health Education;  
Categorical Central Office  
Administrators;  
Matching Benefits.

## New Funding Allotments

- Central Office School  
Administration

(b) The State Board of Education shall adopt formulas for computing the new allotments and may shift appropriate funds from existing funding allotments as is necessary to create these new allotments. The State Board shall establish a timeline to implement the new allotments so that they are fully implemented by the beginning of the 1996-97 school year. For the 1995-96 fiscal year, the State Board shall allocate Intervention/Prevention funds and Safe Schools funds to local school administrative units on a grant basis.

(c) The formula for the new funding allotment for Central Office Administration shall provide for a dollar allotment and not a position allotment. Furthermore, no central office administrators shall be paid from any other funding allotment, including funds for categorical programs.

(d) Funds allotted for the new funding allotment for At-Risk Student Services/Alternative Schools for the 1995-96 fiscal year shall remain available for expenditure until September 1, 1996; funds allotted for the 1996-97 fiscal year and subsequent fiscal years shall become available for expenditure on July 1 of that fiscal year and shall remain available for expenditure until August 31 of the next fiscal year.

(e) The State Board of Education shall adopt policies to establish purposes for which consolidated funds within each new funding allotment may be used, beginning with the funds within the At-Risk Student Services/Alternative Schools allotment. These purposes shall include, but are not required to be limited to, the same purposes as were permitted under the existing funding allotment categories. If applicable, the purposes shall conform to appropriate federal requirements. The State Board also shall establish procedures for allocating funds that previously were distributed in the form of grants to selected local school administrative units.

(f) Notwithstanding the new funding allotments established in this section, local boards of education may use funds from the allotment for Vocational Education - Months of Employment for program support for vocational education, and may use funds from the allotment for Instructional Support Personnel for teacher positions to reduce class size at all grade levels.

No waivers shall be necessary for the use of these funds under this subsection.

## Supplement not Supplant

### **OMB A-133 Compliance Supplement 2011, 4-84.048-9 and 4-84.000-20 2 CFR 200 Uniform Grant Guidance - Appendix XI to Part 200**

Under the Federal “supplement not supplant” requirement, LEAs may use Federal funds only to supplement and, to the extent practical, increase the level of funds that would, in the absence of the Federal funds, be made available from non-Federal sources for the education of participating students. In no case may a school district use Federal program funds to supplant—take the place of—funds from non-Federal sources.

Funds from Federal programs are to be supplemental in nature.

- If these funds are **used to provide something that is required by local, state law or policy or other federal law** then supplanting occurs and a district may have to pay the funds back to the program if discovered in an audit.
- Another way that supplant happens is if a school system **uses federal funds to pay for something that has previously been paid from local funds.**

These presumptions are refutable if the LEA can demonstrate that it would not have been able to provide the services in question with non-Federal funds had the Federal funds not been available.

For example, suppose that an LEA in past years had used State or local funds to pay the salaries of certain personnel. The LEA that experienced a significant loss of revenue from one year to another might be able to demonstrate that the use this year of Federal program funds to pay for these (which are otherwise allowable under the Federal program statute), would not be supplanting because, without the Federal funds, it would not have the resources needed to maintain these positions. This exception can also be used where the services are mandated by State law.

Because of the importance of the supplement/supplant requirement, it is very important that LEAs maintain good fiscal records and other documentation that will permit an auditor or program monitor to conclude that they have overcome a presumption that supplanting has occurred.

*Supplant Examples:*

1. Using federal funds to pay for a position that was previously supported by local and state funds;
2. Using federal funds to pay for materials or activities that are the district's responsibility;
3. Using federal funds to pay for the activities or materials in one school that are paid for with state and local funds in other district schools.

**Impact of Sequestration on Supplanting**

ED does not believe that using Title I, Part A funds in a subsequent year to replace an LEA's use of local funds to support its Title I program in the face of sequestration would constitute supplanting. Rather, the local contribution would merely serve to provide the same or similar level of Title I services pre sequestration – that is, the local funds would help implement the LEA's Federal Title I program. Absent the local contribution, the LEA's Title I program would be less robust. To ensure that a local contribution does not raise the presumption of supplanting, an LEA should document that the local funds are, in fact, being used to support the Title I program. As expected, the continued use of local funds would need to meet all applicable Title I requirements. CTE will apply the precedent established by the Title I guidance to Perkins IV and local funds for the LEA's CTE program.

Since, state CTE funds are utilized for maintenance of effort to secure federal CTE funds. The state CTE funds assume the same characteristics as the matching federal funds. Thus, the Supplement not Supplant requirement is applied to these state CTE funds.

# Indirect Cost

## Budgeting Indirect Cost

Restricted Indirect Cost Rate for Piedmont County

1.234%

**EXAMPLE**

Total Allocation	\$50,000.00
Less Capital Outlay	<u>(5,000.00)</u>
Amount Available for Other Expenses	45,000.00
Amount Subject to Indirect Cost (\$45,000 divided by 101.234%)	<u>44,451.00</u>
Budgeted Amount for Indirect Cost	<u>\$ 549.00</u>

The allowable indirect cost amount to budget for a project is computed by subtracting the capital outlay (equipment) from the total allocation. This amount is then divided by 100% plus the appropriate indirect cost rate to obtain the amount subject to indirect cost. The amount subject to indirect cost is then subtracted from the available amount for other expenses to arrive at the budgeted amount for indirect cost.

Verification of Indirect Cost	
Amount subject to Indirect Cost	\$ 44,451
Indirect Cost Rate	<u>x .01234</u>
Maximum Indirect Cost for this Project as currently budgeted	<u>\$ 549</u>

## Indirect Cost Questions and Answers

**1. What is Indirect Cost?**

The federal government recognized that there were costs being incurred to run programs that were not being directly paid from federal programs. An indirect cost rate was established so that LEAs could be reimbursed for the local funds that were expended for activities directly related to a federal program.

**2. What are some examples of expenses covered by Indirect Cost?**

Examples of expenses covered by indirect cost are bookkeeping expenses and personnel administration.

**3. When preparing a budget, where do you budget Indirect Cost?**

The amount budgeted for indirect cost is recorded on the Budget Form (FPD208) in budget line item 3-8100-XXX-392.

**4. How often should a LEA report indirect cost?**

Indirect cost expenditures should be reported monthly or at least quarterly.

**5. What happens if a LEA exceeds their allowable indirect cost budgets as of June 30?**

A LEA that exceeds their indirect cost budget as of June 30 is subject to an audit exception.

**6. Is a LEA required to charge indirect cost?**

No. Indirect Cost is an allowable cost; however, LEAs are not required to budget and report amounts if they choose to use local funds.

## Suggested Documents for Compliance Files

Administrators or their designees should keep electronic or hard copies of the following:

Financial Documents	For Purpose of Single Audit	For Purpose of CTE Monitoring
Purchase orders and expenditure reports for last five years (reference state agency record web address listed above)	•	•
Local <b>application</b> with appropriately signed assurances and certifications page <ul style="list-style-type: none"> <li>• Narrative with approval page from regional coordinator and/or signature page or board minutes indicating approval</li> <li>• Initial budgets approved by regional coordinator</li> <li>• LBAAS amendments and approvals</li> <li>• Local Course Options application and approvals</li> </ul>	•	•
Documentation of Personnel Expenses (formerly Time and Efforts reports, monthly and semi-annual certifications)	•	•
Equipment disposal forms	•	•
Payroll history for current teachers	•	
Plans for computer and lab upgrades		•
Contracts with suppliers	•	•
ABC transfers	•	•
Copy of all budgets (PRC 013, 014, 017)	•	•
Program Documents	For Purpose of Single Audit	For Purpose of CTE Monitoring
Licensure for current staff	•	•
PowerSchool teacher by department yearly printout		•
Honors portfolios		•
Professional development agendas/sign in sheets/CEU completion	•	•
Enrollment data	•	•
Concentrator survey data	•	•
Post-assessment results		•
Graduation rate and dropout percentage for CTE concentrators	•	
CDP +	•	•
Registration materials		•
Cluster documents		•
Proof of career guidance support		•

<b>Program Documents</b>	<b>For Purpose of Single Audit</b>	<b>For Purpose of CTE Monitoring</b>
Proof of special populations support	•	•
Proof of teacher support by IMC		•
Proof of annual program evaluation	•	•
Proof of activity that exposes students to “all aspects of industry”		•
Proof of one or more programs of study	•	•
Proof of links with post-secondary education (local articulation agreements, meeting agendas, CCP enrollment)	•	•
Pathway documents and proof of collaboration in pathway work		•
Proof on non-discrimination notice	•	•
Labor market data used in program determination		•
<b>If applicable...</b>	<b>For Purpose of Single Audit</b>	<b>For Purpose of CTE Monitoring</b>
NATEF certifications		•
NCCER program approval		•
CNA program approval		•
Proof of insurance for work-based learning	•	•
Extended employment contracts	•	•
Plans of work for employees who work beyond ten months	•	•

## Determining Eligibility for Hiring an Adjunct Instructor

Before hiring an adjunct instructor, determine eligibility for hire using any one of the options in the chart below.

Work Experience & Credential*	Work Experience & Education	Licensed Teacher	Postsecondary Instructor
<ul style="list-style-type: none"> <li>•6 years of related work experience within the last 10 years</li> <li>•Recognized industry credential or active professional license required for each course being taught</li> </ul>	<ul style="list-style-type: none"> <li>•Candidate meets work and education level as described in CTED-004 for the license area that supports the program area in which the course resides</li> <li>•Recognized industry credential, if required, and defined for the course in the CTE Director's Guide to Licensure</li> </ul>	<ul style="list-style-type: none"> <li>•Candidate holds a current license or previously held a license eligible to teach the course as defined in the Licensure/Course Crosswalk</li> <li>•Recognized industry credential, if required, and defined for the course in the CTE Director's Guide to Licensure</li> </ul>	<ul style="list-style-type: none"> <li>•Candidate is employed as teacher/faculty member in similar program area at a postsecondary institution</li> <li>•Recognized industry credential, if required, and defined for the course in the CTE Director's Guide to Licensure</li> </ul>

\*This option is available for 2019-20 only.

## Waiver of 12 Months of Employment for Career and Technical Education Agricultural Education Teacher Personnel (beginning 2019-2020)

Session Law 2017-57 amended GS 115C-302.1(b) related to the term of employment for agriculture education personnel in grades 9-12 as follows: "Beginning with the 2018-19 school year, career and technical education agriculture teacher personnel positions serving students in grades nine through 12 shall be for a term of employment for 12 calendar months."

Section (b2) was added to the statute to allow for waivers for the 12-month requirement. The purpose of this form is to request a waiver for this requirement. All waivers must be submitted annually no later than May 15th for waivers to be in place for the following school year (i.e. by May 15, 2019 for positions that will be less than 12 months in 2019-20).

School system personnel shall indicate below the reason of the waiver request and provide additional documentation where required. Waiver forms should be signed by the Teacher, CTE Director and Superintendent and forwarded to the State CTE Director (trey.michael@dpi.nc.gov). Waivers will be reviewed, and approval must be granted by NC DPI and Agriculture Education staff at NC State University.

Teacher Name:

School:

Local Education Agency:

Position Number:

Please indicate below the reason for the waiver request:

- The teacher assigned to this position has requested a term of employment less than 12 months.
- The teacher assigned to this position did not utilize the additional months of employment in accordance with GS115C-157.5 in the prior school year and/or the evaluation of the agriculture education program indicated additional employment was not being utilized for the purposes it was intended. Failure to earn a minimum of 100 points on the Evaluation of Quality Twelve-Month Agricultural Education Teaching Positions beginning with the 2018 calendar year. (Attach evaluation)
- The district does not have sufficient resources at this time to employ agriculture teacher personnel for 12 months. (Provide details and budget information for previous and current year including planning allotments.)

\_\_\_\_\_, Superintendent

Date: \_\_\_\_\_

\_\_\_\_\_, CTE Director

Date: \_\_\_\_\_

\_\_\_\_\_, Teacher

Date: \_\_\_\_\_

\_\_\_\_\_, Ag. Education Staff

Date: \_\_\_\_\_

\_\_\_\_\_, State CTE Director

Date: \_\_\_\_\_



## Career Technical Student Organization Guidance for Advisor Stipends

In order to promote the establishment and support of active Career Technical Student Organizations (CTSO) as a part of a CTE instructional program, state funds (PRC 014) and federal funds (PRC 017) may be used to pay each eligible advisor a stipend of no more than \$500 if the LEA elects to do so. The decision to develop and implement CTSO advisor stipends is a local decision.

If the LEA elects to offer CTSO advisor stipends, a system should be developed to document the work performed by the advisor. This system should include records that show the chapter is active at the local, state, and national levels with evidence of a program of work that is aligned to the instructional area. It is recommended that the LEA utilize the following (at a minimum):

- An application (sets forth criteria for stipend and clearly denotes advisor and CTSO)
- A timeline for submitting all evidence required for the stipend
- Documentation that must be submitted as evidence for the stipend

The following is recommended as a set of criteria that can be used to implement a CTSO advisor stipend.

1. The Career Technical Student Organization (CTSO) must be a State recognized.
  2. The CTSO must be program-specific to teaching assignment (ex. AG teachers sponsor FFA; unless your school is participating in school-wide SkillsUSA).
  3. The CTSO must meet qualifications to be nationally affiliated (Dues must be submitted to national organization).
  4. Each advisor must be registered and included on the Official National Membership Roster.
  5. The maximum number\* of advisor stipends per CTSO at each school is based on the number of active student members:
    - a. 8 – 25 student members = 1 advisor
    - b. 1 additional advisor for every 25 student members
- \* School-wide SkillsUSA: 1 advisor for each program area of 8 or more active student members*
6. The CTSO must participate in student leadership development activities (leadership conference or guest speakers or other leadership preplanned activity).
  7. The CTSO must participate in a competitive event at regional or state level.
  8. The CTSO must sponsor one or more non-competitive activities (ex: a service project, community event or CTSO member social event).
  9. The advisor must facilitate CTSO meetings before or after school.

The following are suggested documents that can be used to provide evidence of work for the stipend.

1. Official National Membership Roster which includes each advisor and all student member names.
2. Meeting agendas and sign in sheets:
  - a. Meetings before or after school (recommend a minimum per semester)
  - b. Sign in sheet with date and time
  - c. Meeting agendas
3. Documentation of participation in **student leadership development**.
4. Documentation of participation in **competitive events at regional or state level**.
5. Documentation of participation in **non-competitive activities**.

The following are sample applications used by LEAs who have implemented stipends for CTSO advisors.

# SAMPLE CTSO Stipend Application

(LEA may elect to have this completed on paper or create an online form.)

## CTSO Stipend Application 20xx – 20xx

Each CTSO advisor should complete this form by mm/dd/yy to be eligible for a CTSO advisor stipend for the current school year. (NOTE: Completing this form does not mean you will receive the stipend. This is one piece of the required documentation.)

\* Required

First Name \* \_\_\_\_\_

Last Name \* \_\_\_\_\_

Email address \* \_\_\_\_\_

School where you are a CTSO advisor \* \_\_\_\_\_

Select your CTSO: (Mark one only)

FFA    FBLA    DECA    FCCLA    HOSA    TSA    SkillsUSA

Number of student members on the Official National Membership Roster. (An estimate is okay here; the copy of the Official National Membership Roster will serve as final count. \_\_\_\_\_)

Who is the Lead Advisor for this CTSO? \* \_\_\_\_\_

Please answer the following to document your plan of work (so far) for the organization: \* (The lead advisor is responsible for submitting all supporting documentation.)

What student leadership development activity(ies) is your CTSO planning to participate?

\_\_\_\_\_

What regional or state competition event(s) are you planning to attend?

\_\_\_\_\_

What non-competitive activity(ies) (ex: a service project, community event or CTSO member social event) is your CTSO planning to participate?

\_\_\_\_\_

When are your regular CTSO meetings scheduled?

\_\_\_\_\_

I am submitting my application to be considered for receiving a stipend for my work as a CTSO advisor. I understand the criteria and that appropriate documents must be submitted in order to be considered for eligibility to receive this stipend.

I agree to the terms and conditions stated above.

\_\_\_\_\_

Signature

## Career Technical Student Organization Officer Support

### CTSO State Officer Support to State CTSO Conference

CTSO Name	Required Attendance	Transportation	Hotel	Registration	Meals	Other
NC DECA	Yes	Yes	Yes	Yes	Yes	
NC FBLA	Yes	Yes	Yes	Yes	Yes	
NC FCCLA	Yes	No	Yes	Yes	Yes	
NC FFA	Yes	Yes	Yes	Yes/No**	Yes	
NC HOSA	Yes	Yes	Yes	Yes	Limited	
NC SkillsUSA	Yes	No	Yes	Yes	Yes	
NC TSA	Yes	Yes	Yes	Yes	Yes	Attire

\*\* Officer pays registration if involved in other competitive events

### CTSO State Officer Support to National CTSO Conference

CTSO Name	Required Attendance	Transportation	Hotel	Registration	Meals	Other
NC DECA	Yes	Yes	Yes	Yes	Yes	
NC FBLA	Yes	Yes	Yes	Yes	Yes	
NC FCCLA	Yes	No	Yes	No	No	
NC FFA	Yes	Yes	Yes	Yes	Yes	
NC HOSA	Yes	Yes	Yes	Yes	Yes	
NC SkillsUSA	Yes	No	Yes	No	Limited	Activities attended as a team covered
NC TSA	No	No	No	No	Limited	One meal covered

### CTSO National Officer Support to Attend National CTSO Conference (if from NC)

CTSO Name	Required Attendance	Transportation	Hotel	Registration	Meals	Other
NC DECA	Yes	Yes	Yes	Yes	Yes	
NC FBLA	Yes	Yes	Yes	Yes	Yes	
NC FCCLA	Yes	No	Yes	Yes	Yes	
NC FFA	Yes	Yes	Yes	No	Yes	Jacket/Coat
NC HOSA	Yes	Yes	Yes	Yes	Yes	National HOSA
NC SkillsUSA	Yes	Yes	Yes	Yes	Yes	National SkillsUSA
NC TSA	Yes	Yes	Yes	Yes	Yes	National TSA

## Summary of Changes to Fiscal & Policy Guide

- July 2019 (Complete Revision – Perkins V)
- January 2019 (Update)
- July 2018 (No Update)
- January 2018 (Update)
- July 2017 (Major Update)
- January 2017 (Update)
- July 2016 (Update)
- January 2016 (Update)
- July 2015 (Complete Revision – UGG)
- January 2009 (Complete Revision)
- February 2005 (Update)
- November 2004(Update)
- July 2004 (Update)
- July 2003 (Complete Revision)
- December 2001
- November 1999